

# Parsing as a lifting problem and the Chomsky-Schützenberger Representation Theorem

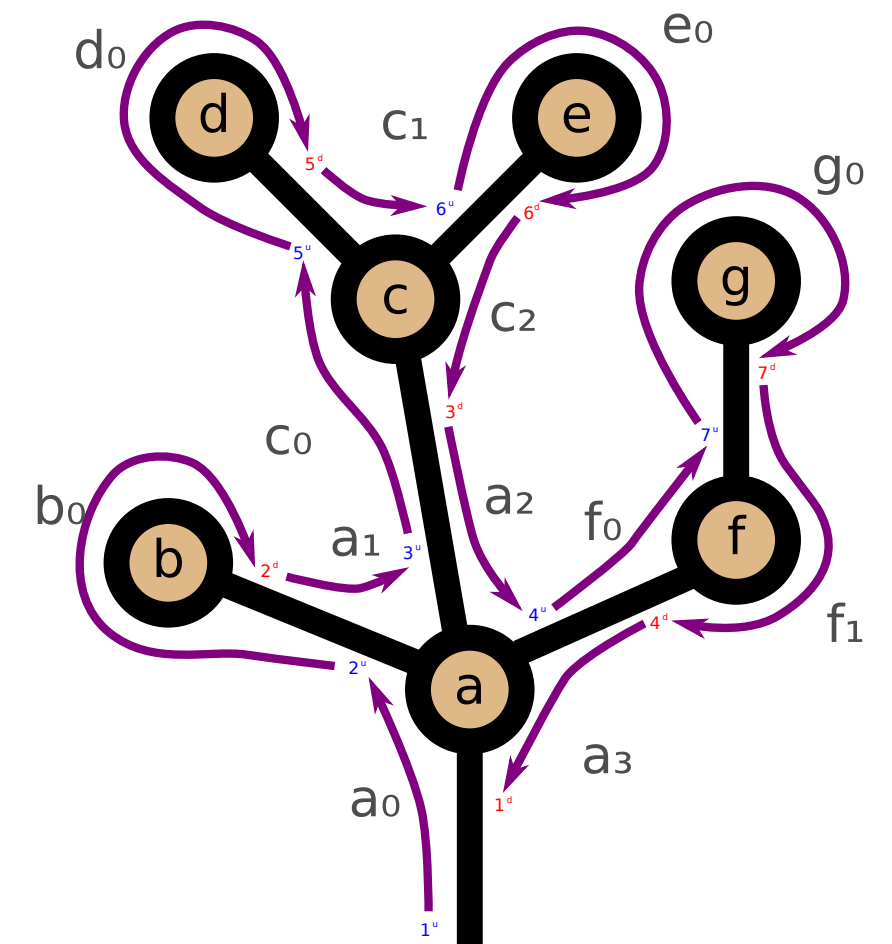
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Pittsburgh, PA  
21 July 2022

based on a paper presented at MFPS 2022

preliminary version: <https://hal.archives-ouvertes.fr/hal-03702762> (comments welcome!)



# ***1. Introduction***

# A functorial view of type systems

(cf. M&Z, "Functors are Type Refinement Systems", POPL 2015)

## Manifesto.

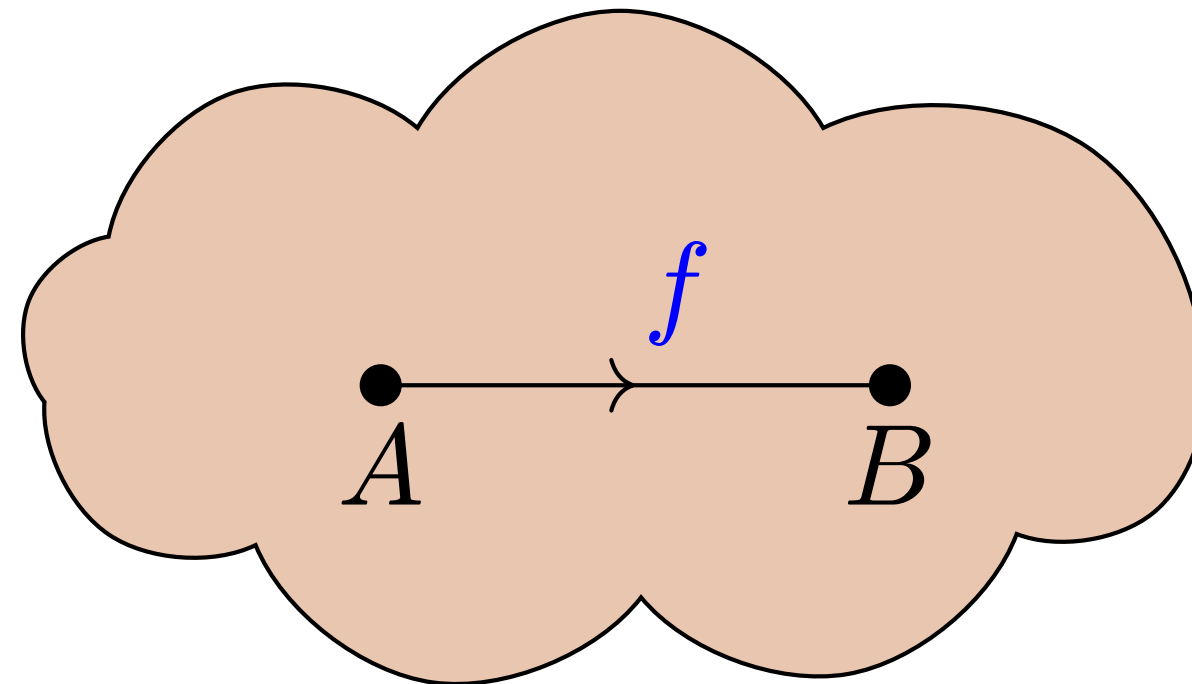
The standard interpretation of type systems as categories *collapses the distinction* between terms, typing judgments, and typing derivations, and is *therefore inadequate* for understanding what type systems do mathematically.

Instead, type systems are better modelled as **functors**

$p : \mathbb{D} \rightarrow \mathbb{T}$  from a category  $\mathbb{D}$  whose morphisms are typing derivations to a category  $\mathbb{T}$  whose morphisms are the terms corresponding to the *underlying subjects of those derivations*.

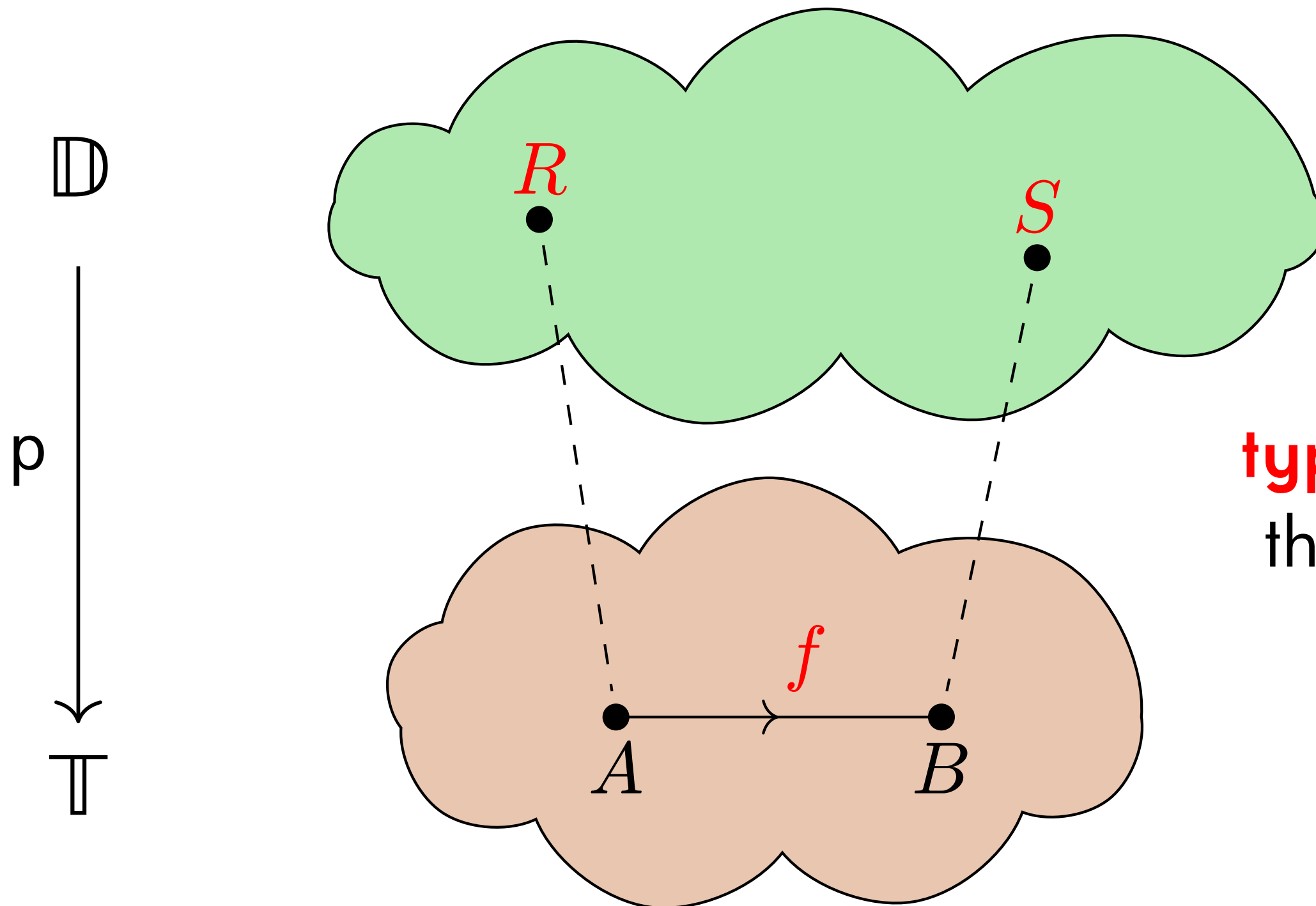
# Typing as a lifting problem

$\mathbb{T}$



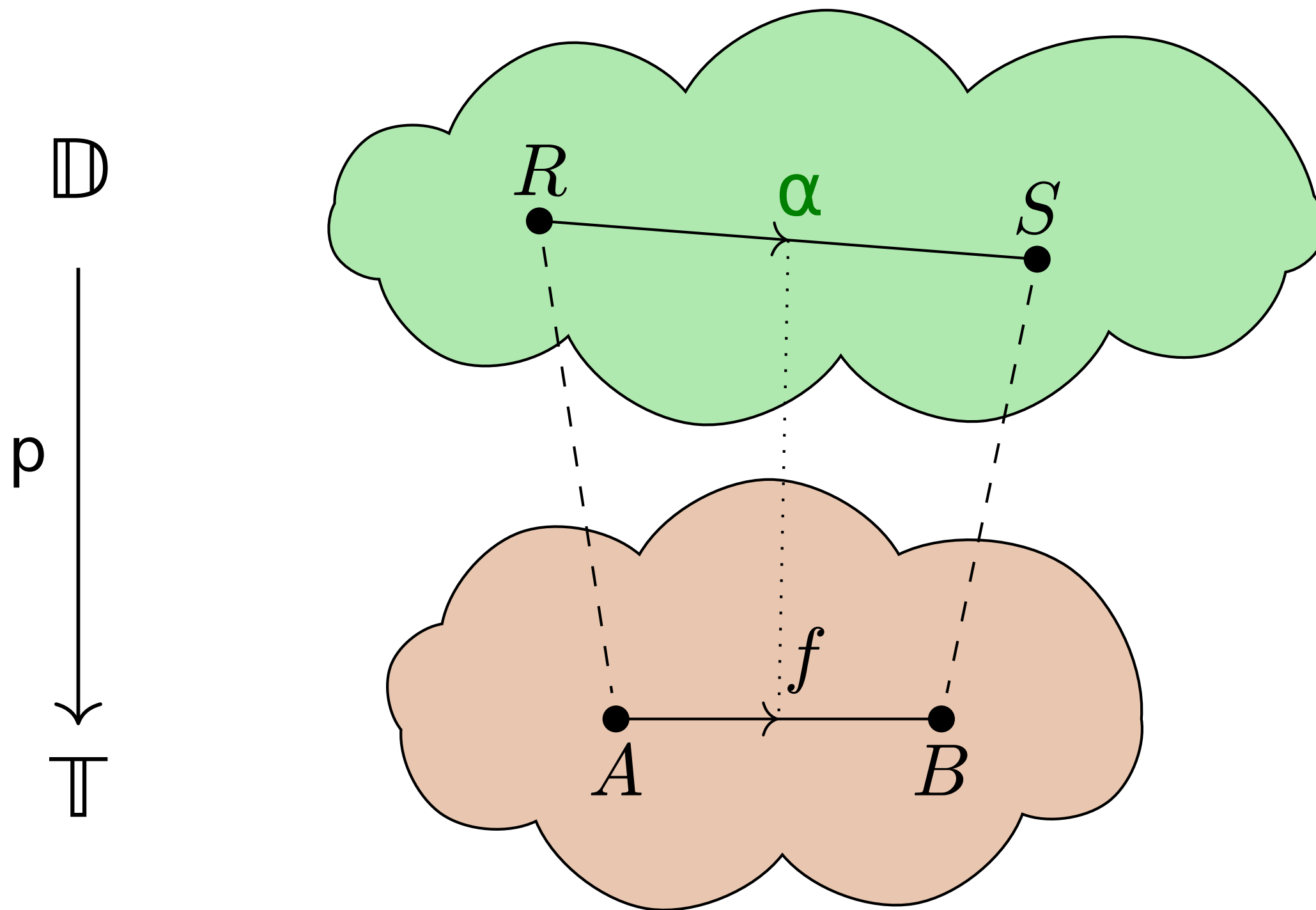
$f$  is a **term** with  
"intrinsic" type  $A \rightarrow B$

# Typing as a lifting problem



The triple  $(R, f, S)$  form a **typing judgment**, asserting that  $f$  may be assigned an "extrinsic" type  $R \rightarrow S$

# Typing as a lifting problem



$\alpha$  is a **typing derivation** providing evidence for the judgment

# A functorial view of context-free grammars

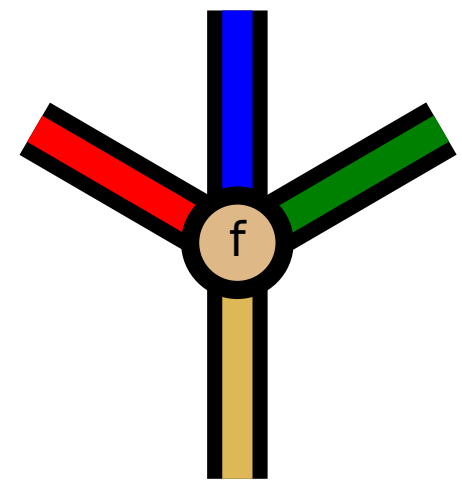
We developed this perspective in a series of papers, and believe it may be usefully applied to a large variety of deductive systems, beyond type systems in the traditional sense. In this work, we focus on derivability in context-free grammars, a classic topic in formal language theory with wide applications in CS.

Our starting point will be to *represent CFGs as **functors of operads***  $p : \mathbb{D} \rightarrow \mathbb{T}$ , where  $\mathbb{D}$  is a freely generated (colored) operad and  $\mathbb{T} = W[\Sigma]$  is something we call the "operad of spliced words".

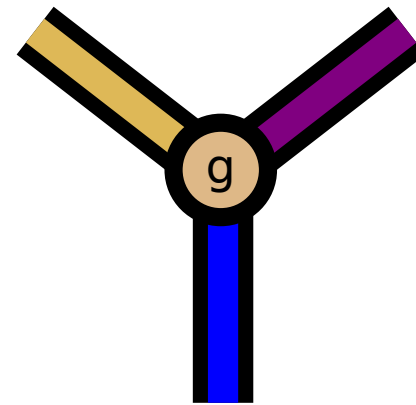
# Reminder on operads

(Usage note: "operad" = colored operad = multicategory.)

*operations*



$$f : R, B, G \rightarrow Y$$



$$g : Y, P \rightarrow B$$



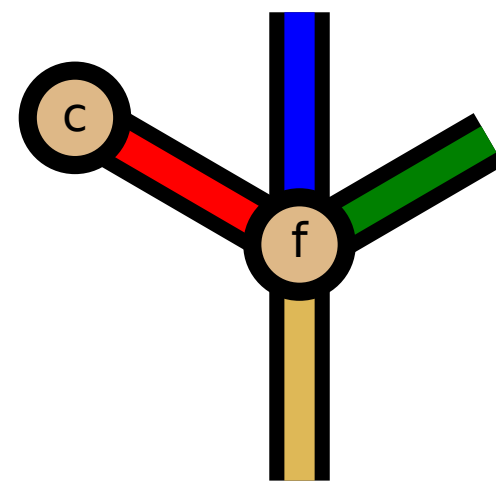
$$c : R$$

*identity*

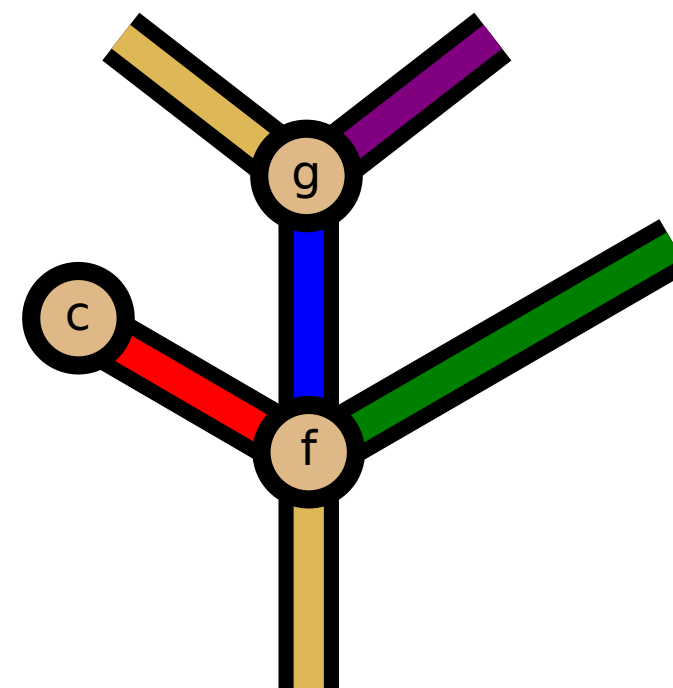


$$\text{id}_G : G \rightarrow G$$

*partial / parallel composition*



$$f \circ_0 c : B, G \rightarrow Y$$



$$f \circ (c, g, \text{id}_G) : Y, P, G \rightarrow Y$$

+ *associativity*  
&  
*unitality axioms*



# Reminder on CFGs

A context-free grammar is a tuple  $G = (\Sigma, N, S, P)$  consisting of:

- a finite set  $\Sigma$  of *terminal symbols*
- a finite set  $N$  of *non-terminal symbols*
- a distinguished element  $S \in N$  called the *start symbol*
- a finite set  $P$  of *production rules*  $R \rightarrow \sigma$  where  $R \in N$  and  $\sigma \in (N \cup \Sigma)^*$

We write  $\sigma_1 \Rightarrow \sigma_2$  if there exist  $\rho, \tau \in (N \cup \Sigma)^*$  and a production rule  $R \rightarrow \sigma$  such that  $\sigma_1 = \rho R \tau$ ,  $\sigma_2 = \rho \sigma \tau$ . The *language* of  $G$  is the set of strings  $\{ w \in \Sigma^* \mid S \Rightarrow^+ w \}$ .

# The operad of spliced words

Observation: any production rule can be factored as

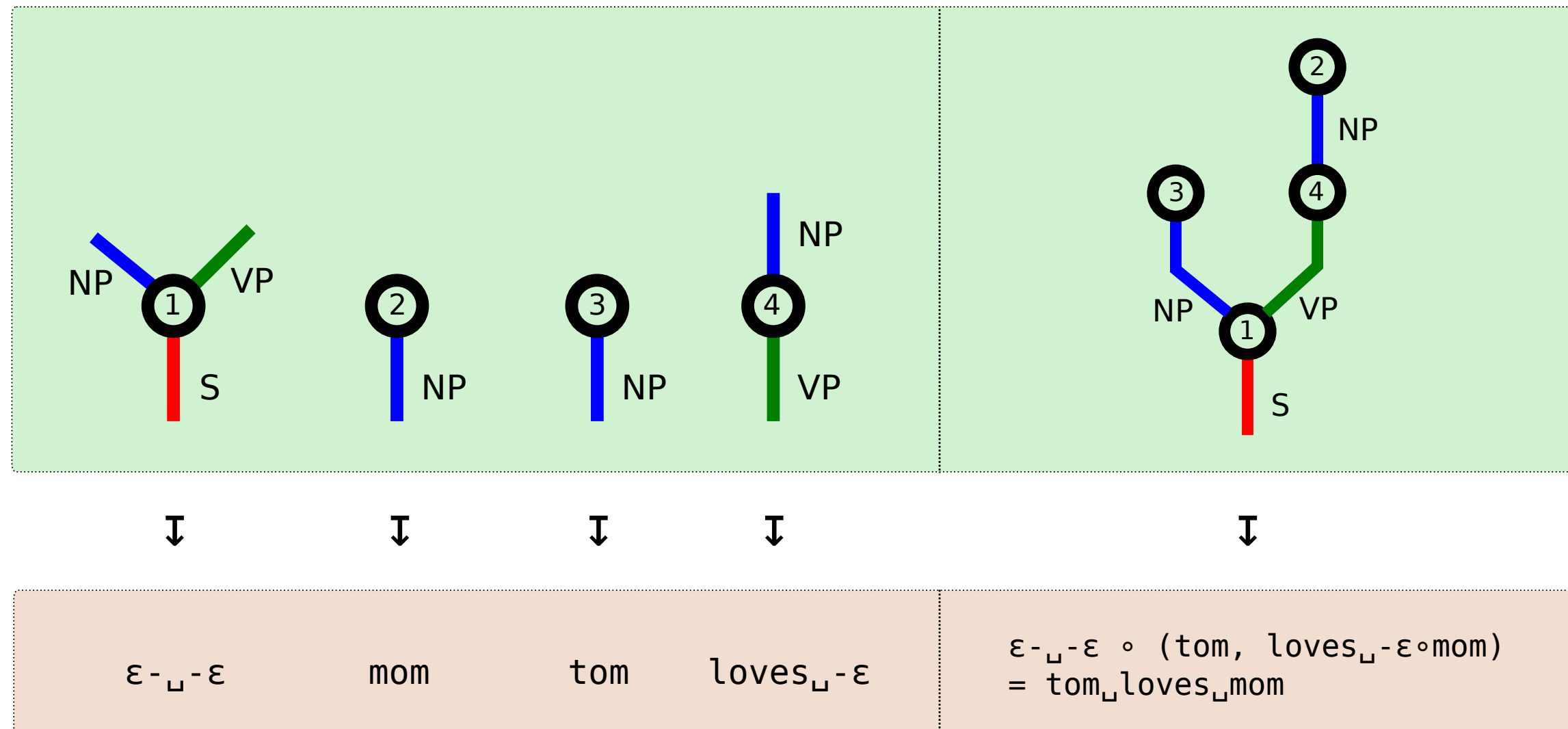
$R \rightarrow w_0 R_1 w_1 \dots R_n w_n$ , where  $w_0, w_1, \dots, w_n \in \Sigma^*$  and  $R_1, \dots, R_n \in N$ .

If we forget the non-terminals, the remaining sequence  $w_0-w_1-\dots-w_n$  can be seen as an  $n$ -ary operation of the *operad of spliced words*  $W[\Sigma]$ . Composition in this (uncolored) operad is performed by "splicing into the gaps", for example:

$$(ha-ha-ha) \circ (foo, \underline{bar-baz}) = hafoohabar-bazha$$

# Representing CFGs as functors of operads: example

- 1 :  $S \rightarrow NP \ VP$
- 2 :  $NP \rightarrow mom$
- 3 :  $NP \rightarrow tom$
- 4 :  $VP \rightarrow loves \ NP$



# Plan for the talk

It turns out that taking "spliced words" extends to a functor  $W[-] : \text{Cat} \rightarrow \text{Operad}$ , allowing us to define CFGs of arrows over any category. We'll see that representing CFGs as functors leads to a simplification of many standard concepts, and that closure properties of CF languages generalize to CF languages of arrows.

Later, we will see that  $W[-]$  has a left adjoint  $C[-] : \text{Operad} \rightarrow \text{Cat}$ . This construction, called the "contour category" of an operad, has a nice geometric interpretation, and we will use it to prove (a refinement and generalization of) the Chomsky-Schützenberger Representation Theorem\*.

In between, we will also talk about automata over categories and operads.

\*original version: « any CF language is the homomorphic image of the intersection of a Dyck language with a regular language »

# Related work

The idea of defining CFGs as functors from free multicategories was discussed briefly by R.F.C. Walters in "A note on context-free languages", JPAA 62 (1989)

This idea is also closely related to Philippe de Groote's encoding of CFGs as *abstract categorial grammars*, although the ACG work is expressed within a  $\lambda$ -calculus framework rather than a categorical / operadic one.

See introduction to our paper for a bit more discussion of related work. Additional pointers to related work are of course welcome. (Has the contour / splicing adjunction not been noticed before??)

## ***2. Context-free languages of arrows***

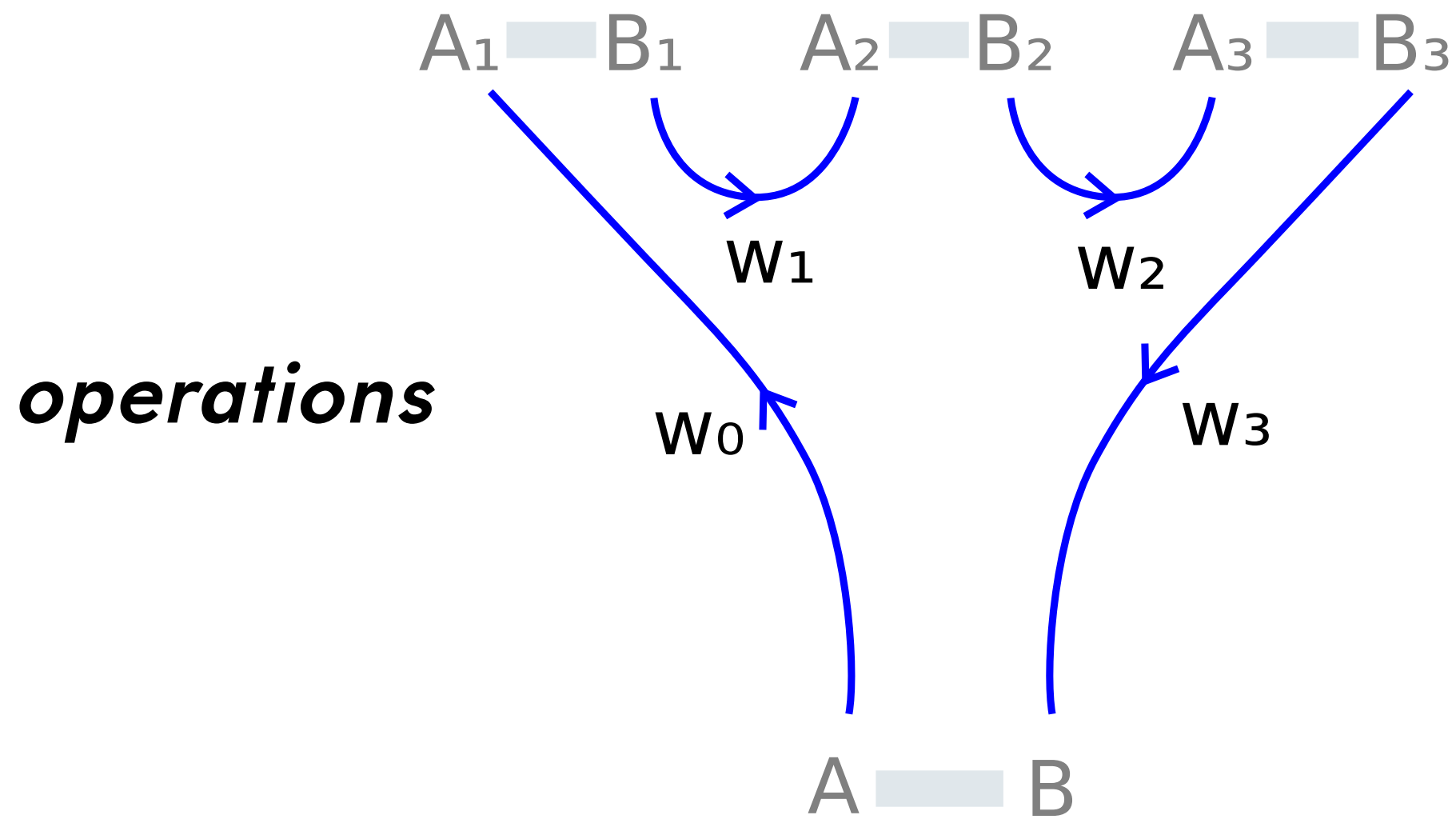
# The operad of spliced arrows

Let  $\mathbb{C}$  be a category. The operad  $W[\mathbb{C}]$  is defined as follows:

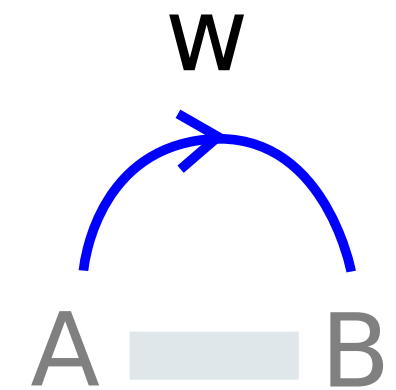
- its colors are pairs  $(A, B)$  of objects of  $\mathbb{C}$ ;
- its  $n$ -ary operations  $(A_1, B_1), \dots, (A_n, B_n) \rightarrow (A, B)$  consist of sequences  $w_0 - w_1 - \dots - w_n$  of  $n+1$  arrows in  $\mathbb{C}$  separated by  $n$  gaps notated  $-$ , where each arrow must have type  $w_i : B_i \rightarrow A_{i+1}$  for  $0 \leq i \leq n$ , under the convention that  $B_0 = A$  and  $A_{n+1} = B$ ;
- composition of spliced arrows is performed by “splicing into the gaps” (see next slide)
- the identity operation on  $(A, B)$  is given by  $\text{id}_A - \text{id}_B$ .

( $W[\mathbb{C}]$  generalizes  $W[\Sigma]$ , taking  $\mathbb{C} = \mathbb{B}_\Sigma$  the free monoid seen as one-object category.)

# The operad of spliced arrows



$$W_0 - W_1 - W_2 - W_3 : (A_1, B_1), (A_2, B_2), (A_3, B_3) \rightarrow (A, B)$$

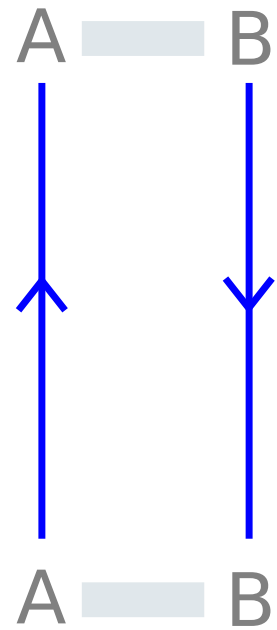


$$w : (A, B)$$

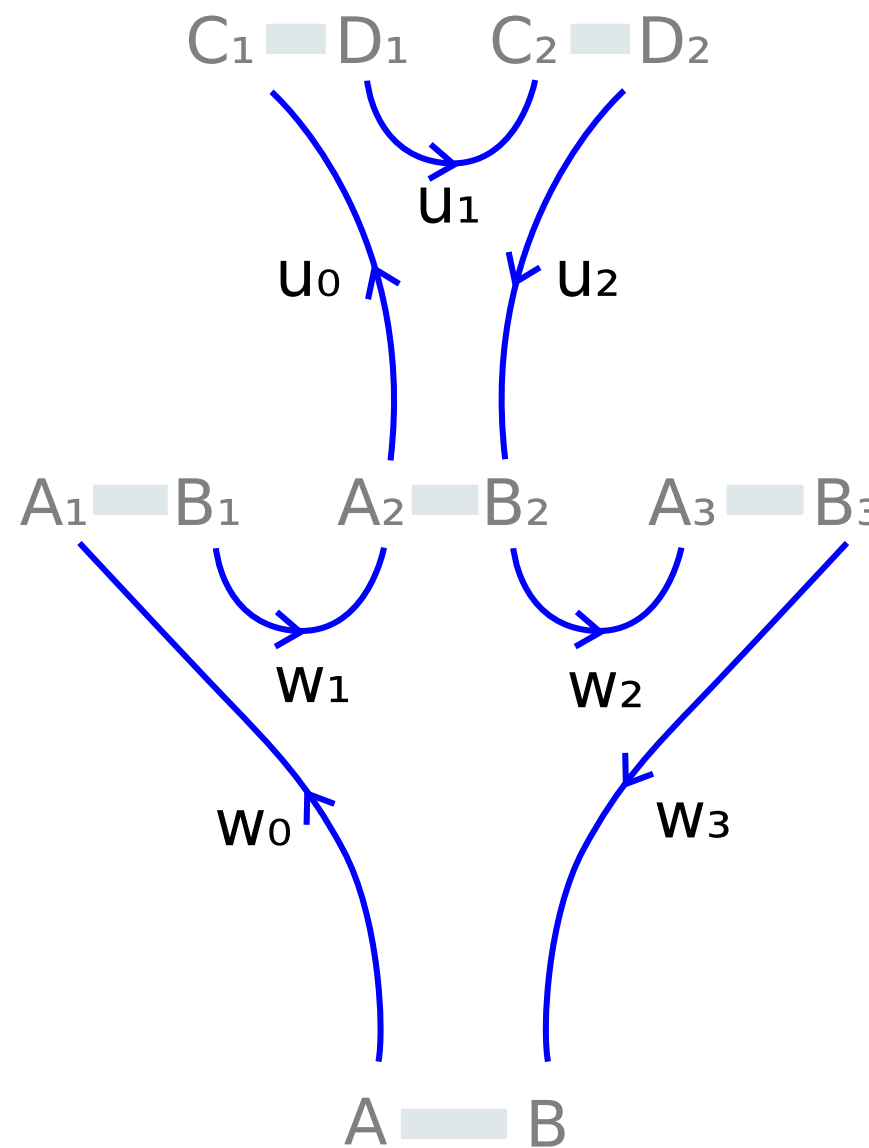


# The operad of spliced arrows

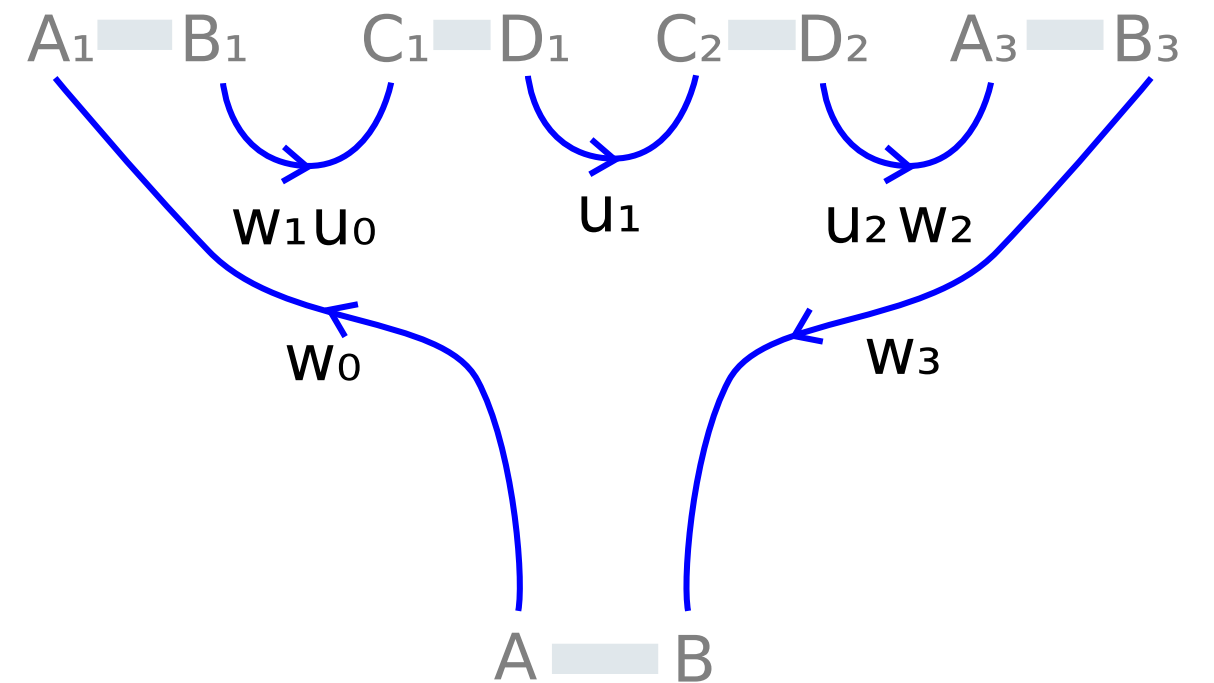
*identity*



*partial composition*



=



# The splicing functor

The operad of spliced arrows construction defines a functor

$$\text{Cat} \xrightarrow{W[-]} \text{Operad}$$

since any functor of categories  $F : \mathbb{C} \rightarrow \mathbb{D}$  induces a functor of operads  $W[F] : W[\mathbb{C}] \rightarrow W[\mathbb{D}]$ .

# Species (some terminology)

A (colored non-symmetric) **species** is a span of sets of the form

$$C^* \leftarrow^i V \xrightarrow{o} C$$

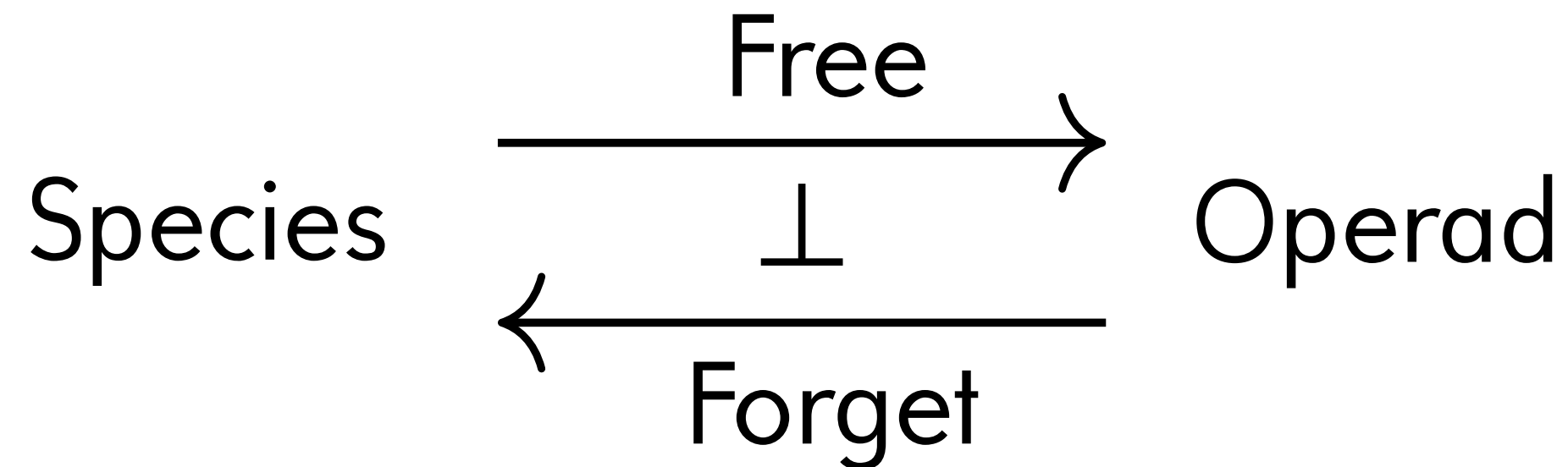
with the following interpretation:  $C$  is a set of "colors",  $V$  a set of "nodes", and  $i : V \rightarrow C^*$  and  $o : V \rightarrow C$  return respectively the list of input colors and the unique output color of each node. We say a species is **finite** (aka "polynomial") iff both  $C$  and  $V$  are finite. A **map of species** is a pair of functions  $(\varphi_C, \varphi_V)$  making the diagram commute:

$$\begin{array}{ccccc} C^* & \leftarrow^i & V & \xrightarrow{o} & C \\ \downarrow \varphi_{C^*} & & \downarrow \varphi_V & & \downarrow \varphi_C \\ D^* & \leftarrow^{i'} & W & \xrightarrow{o'} & D \end{array}$$

# The free / forgetful adjunction

Any operad has an **underlying species**, where  $C$  is the set of colors and  $V$  the set of operations, just forgetting about composition and identity.

Conversely, to any species  $\mathbb{S}$  there is an associated **free operad**  $\text{Free } \mathbb{S}$ .



$$\text{Species}(\text{Free } \mathbb{S}, \mathbb{O}) \cong \text{Operad}(\mathbb{S}, \text{Forget } \mathbb{O})$$

# Definition

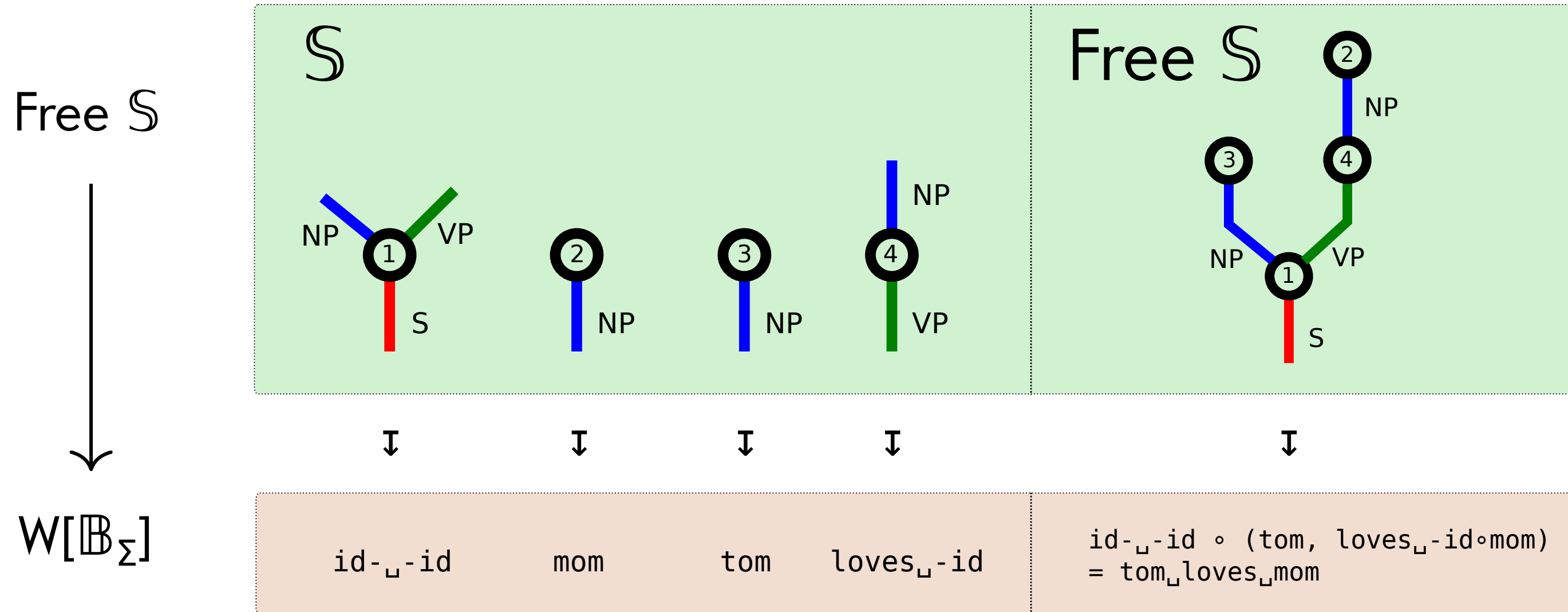
A **context-free grammar of arrows** is a tuple  $G = (\mathbb{C}, \mathbb{S}, S, \varphi)$  consisting of a category  $\mathbb{C}$ , a finite species  $\mathbb{S}$  equipped with a distinguished color  $S \in \mathbb{S}$  and a functor of operads  $p : \text{Free } \mathbb{S} \rightarrow W[\mathbb{C}]$ .

The **context-free language of arrows**  $L_G$  generated by the grammar  $G$  is the subset of arrows in  $\mathbb{C}$  which, seen as constants of  $W[\mathbb{C}]$ , are in the image of constants of color  $S$  in  $\text{Free } \mathbb{S}$ , that is,  $L_G = \{ p(\alpha) \mid \alpha : S \}$ .

Proposition: A language  $L \subseteq \Sigma^*$  is context-free in the classical sense iff it is the language of arrows of a context-free grammar over  $\mathbb{B}_\Sigma$ .

# (Another look at the example)

- 1 :  $S \rightarrow NP VP$
- 2 :  $NP \rightarrow mom$
- 3 :  $NP \rightarrow tom$
- 4 :  $VP \rightarrow loves NP$



# Refining classical CFGs with "gap types"

A feature of the general notion of CFG of arrows is that non-terminals are *sorted*. Adopting our conventions for type refinement, we sometimes write  $R \sqsubset (A,B)$  to indicate  $p(R) = (A,B)$  and say that  $R$  refines the **gap type**  $(A,B)$ . The language generated by a grammar with start symbol  $S \sqsubset (A,B)$  is a subset of  $\mathbb{C}(A,B)$ .

As a simple example, consider the category  $\mathbb{B}_\Sigma^\top = \mathbb{B}_\Sigma +_\sigma 1$  constructed from  $\mathbb{B}_\Sigma$  by freely adjoining an object  $\top$  and an arrow  $\$ : * \rightarrow \top$ . A CFG over  $\mathbb{B}_\Sigma^\top$  may include production rules that can only be applied upon reaching *end of input*, like Knuth's "0th production" rule  $S' \rightarrow S\$$  from the original paper on LR parsing. (Here  $S \sqsubset (*,*)$  is "classical" and  $S' \sqsubset (*,\top)$  is "end-of-input-aware".)

More significant examples coming up, including CFGs over runs of automata!

# Reformulating standard properties of CFGs

Let  $G = (\mathbb{C}, \mathbb{S}, S, p)$  be a CFG of arrows.

- $G$  is **linear** iff  $\mathbb{S}$  only has nodes of arity  $\leq 1$ . It is **left-linear** iff it is linear and every unary node  $x$  of  $\mathbb{S}$  is mapped by  $p$  to an operation of the form  $\text{id}-w$ .
- $G$  is **bilinear** (a generalization of Chomsky NF) iff  $\mathbb{S}$  only has nodes of arity  $\leq 2$ .
- $G$  is **unambiguous** iff for any constants  $\alpha, \beta : S$  in  $\text{Free } \mathbb{S}$ , if  $p(\alpha) = p(\beta)$  then  $\alpha = \beta$ .
- A non-terminal  $R$  is **nullable** if there exists a constant  $\alpha : R$  of  $\text{Free } \mathbb{S}$  s.t.  $p(\alpha) = \text{id}$ .
- A non-terminal  $R$  is **useful** if there exists a constant  $\alpha : R$  and a unary op  $\beta : R \rightarrow S$ . Note that if  $G$  has no useless non-terminals then  $G$  is unambiguous iff  $p$  is faithful.



# Basic closure properties of CF languages

**[Union]** If  $L_1, L_2 \subseteq \mathbb{C}(A, B)$  are CF, so is  $L_1 \cup L_2 \subseteq \mathbb{C}(A, B)$ .

**[Spliced concatenation]** If  $L_1 \subseteq \mathbb{C}(A_1, B_1), \dots, L_n \subseteq \mathbb{C}(A_n, B_n)$  are CF, and  $w_0 - w_1 - \dots - w_n : (A_1, B_1), \dots, (A_n, B_n) \rightarrow (A, B)$  is an operation of  $W[\mathbb{C}]$ , then  $w_0 L_1 w_1 \dots L_n w_n \subseteq \mathbb{C}(A, B)$  is also CF.

**[Functorial image]** If  $L \subseteq \mathbb{C}(A, B)$  is CF, and  $F : \mathbb{C} \rightarrow \mathbb{D}$  is a functor of categories, then  $F(L) \subseteq \mathbb{D}(F(A), F(B))$  is also CF.

(Proofs left as an exercise!)

# The translation principle

Let  $G_1 = (\mathbb{C}, \mathcal{S}_1, S_1, p_1)$  and  $G_2 = (\mathbb{C}, \mathcal{S}_2, S_2, p_2)$  be two CFGs over the same category  $\mathbb{C}$ .

If there is a fully faithful functor of operads  $T : \text{Free } \mathcal{S}_1 \rightarrow \text{Free } \mathcal{S}_2$  such that  $p_1 = T p_2$  and  $T(S_1) = S_2$ , then  $L_{G_1} = L_{G_2}$ .

Example use of translation principle: *for any CFG of arrows, there is a bilinear CFG of arrows generating the same language.*

# Parsing as a lifting problem

Besides characterizing the language generated by a grammar, we're often interested in the dual problem of parsing. In our functorial formulation of context-free grammars, parsing an arrow  $w$  may be considered as the problem of computing its inverse image along  $p : \text{Free } \mathbb{S} \rightarrow W[\mathbb{C}]$ .

One high-level tool for analyzing this problem is the correspondence between functors of categories  $p : \mathbb{D} \rightarrow \mathbb{T}$  and lax functors  $F : \mathbb{T} \rightarrow \text{Span}(\text{Set})$  into the bicategory of spans of sets, which can be extended smoothly to functors of operads. Adapting terminology introduced by Ahrens and Lumsdaine, we refer to a lax functor of operads  $F : \mathbb{T} \rightarrow \text{Span}(\text{Set})$  as a **displayed operad**.

# Displayed free operads, and generalized CYK parsing

One can derive an inductive formula for displayed free operads, which refines the inductive formula for free operads  $\text{Free } \mathcal{S} \cong I + \mathcal{S} \circ \text{Free } \mathcal{S}$  that characterizes the free operad over  $\mathcal{S}$  as a species of  $\mathcal{S}$ -labelled trees.

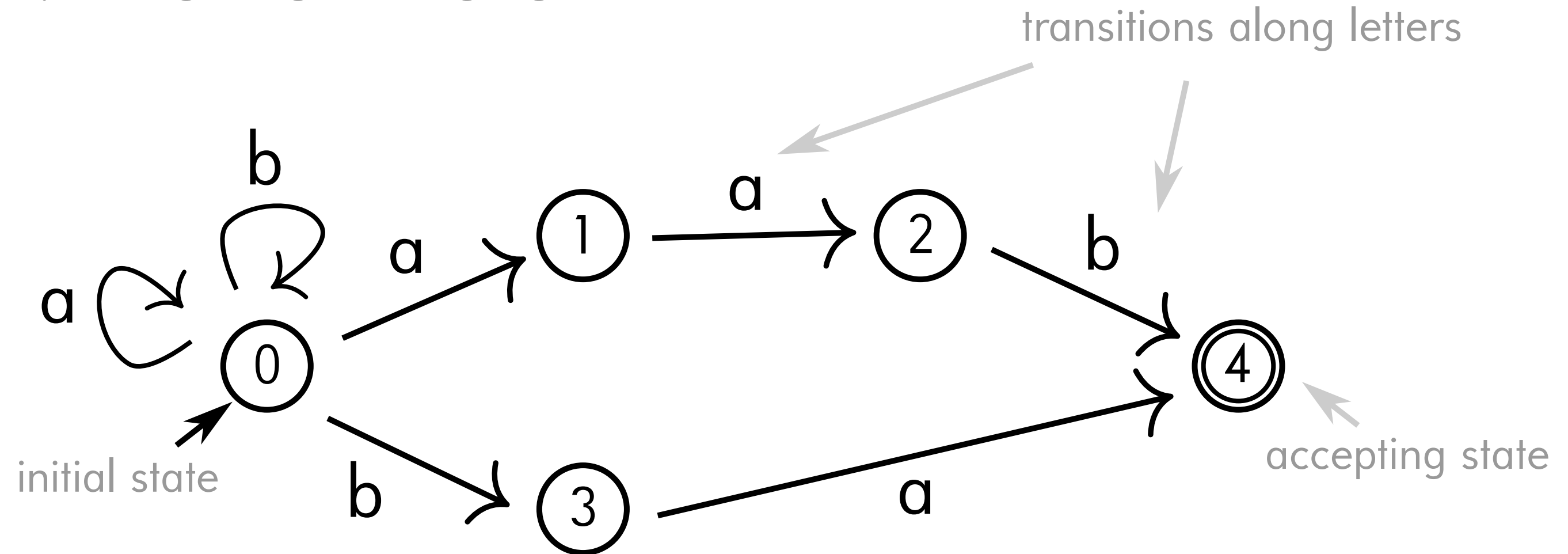
Specializing the formula to the underlying functor of a CFG seen as a displayed operad  $F : W[\mathbb{C}] \rightarrow \text{Span}(\text{Set})$ , we obtain a formula for the fiber  $F_w$  of parse trees of any given arrow  $w$ . We can also derive an inductive rule for computing the set  $N_w$  of non-terminals deriving  $w$ , which is essentially the rule given by Leermakers (1989) in his generalization of CYK parsing to arbitrary CFGs. As he explained, the relation  $N_w$  can be solved in cubic time for bilinear grammars.

$$\frac{w = w_0 u_1 w_1 \dots u_k w_k \quad (x : R_1, \dots, R_k \rightarrow R) \in \mathcal{S} \quad \phi(x) = w_0 - w_1 - \dots - w_n \quad R_1 \in N_{u_1} \quad \dots \quad R_k \in N_{u_k}}{R \in N_w}$$

# ***3. Finite-state automata over categories and operads***

# Reminder on finite state automata

An **N DFA**: [recognizing the language  $(a+b)^*(abb+ba)$ ]

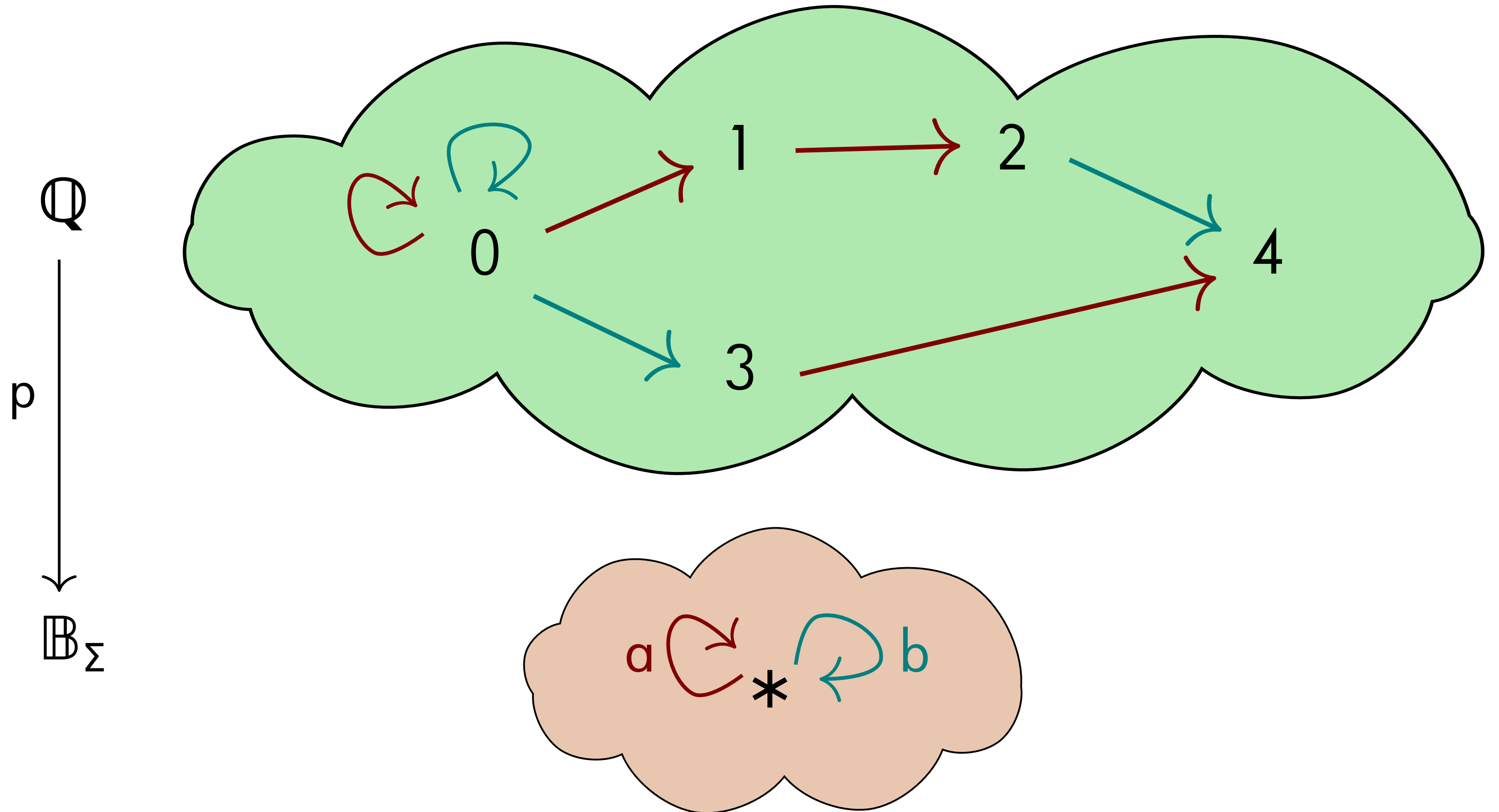


**alphabet**  $\Sigma = \{a,b\}$

**state set**  $Q = \{0,1,2,3,4\}$

*(no  $\epsilon$ -transitions)*

# Representing automata as functors



# Two key properties of NDFAs

Let  $p : \mathbb{D} \rightarrow \mathbb{T}$  be a functor of categories.

$p$  is **finitary** if either of the following equivalent conditions hold:

- the fibers  $p^{-1}(A)$  and  $p^{-1}(w)$  are finite for every object  $A$  and arrow  $w$  in  $\mathbb{T}$ ;
- the associated lax functor  $F : \mathbb{T} \rightarrow \text{Span}(\text{Set})$  factors via  $\text{Span}(\text{FinSet})$ .

ULF = "unique lifting of factorizations" (Lawvere & Meni)

$p$  is **ULF** if either of the following equivalent conditions hold:

- for any arrow  $\alpha$  of  $\mathbb{D}$ , if  $p(\alpha) = uv$  for some pair of arrows  $u$  and  $v$  of  $\mathbb{T}$ , there exists a unique pair of arrows  $\beta$  and  $\gamma$  in  $\mathbb{D}$  such that  $\alpha = \beta\gamma$ ,  $p(\beta) = u$ ,  $p(\gamma) = v$ .
- the associated lax functor  $F : \mathbb{T} \rightarrow \text{Span}(\text{Set})$  is a pseudofunctor.

Proposition: a functor  $p : \mathbb{Q} \rightarrow \mathbb{B}_\Sigma$  is the underlying bare automaton of a NDFA with alphabet  $\Sigma$  iff  $p$  is both finitary and ULF.



# Definition

A **N DFA over a category** is a tuple  $M = (\mathbb{C}, \mathbb{Q}, p : \mathbb{Q} \rightarrow \mathbb{C}, q_0, q_f)$  consisting of two categories  $\mathbb{C}$  and  $\mathbb{Q}$ , a finitary ULF functor  $p : \mathbb{Q} \rightarrow \mathbb{C}$ , and a pair  $q_0, q_f$  of objects of  $\mathbb{Q}$ .

The **regular language of arrows**  $L_M$  recognized by the automaton  $M$  is the subset of arrows in  $\mathbb{C}$  that can be lifted along  $p$  to an arrow  $\alpha : q_0 \rightarrow q_f$  in  $\mathbb{Q}$ , that is,  $L_M = \{ p(\alpha) \mid \alpha : q_0 \rightarrow q_f \}$ .

Proposition: A language  $L \subseteq \Sigma^*$  is regular in the classical sense iff  $L\$$  is the regular language of arrows of a NDFA over  $\mathbb{B}_\Sigma^T$ .

# Automata over operads

The notions of finitary and ULF extend smoothly to functors of operads.

By analogy, an **N DFA over an operad** is a tuple  $M = (\mathbb{O}, \mathbb{Q}, p : \mathbb{Q} \rightarrow \mathbb{O}, q)$  where  $p : \mathbb{Q} \rightarrow \mathbb{O}$  is a finitary ULF functor of operads, and  $q$  a color of  $\mathbb{Q}$ .

When  $\mathbb{O}$  is a free operad, this recovers the standard notion of ND finite state tree automaton. But the notion of NDFA over an operad is more general!

Proposition: if a functor of categories  $p : \mathbb{Q} \rightarrow \mathbb{C}$  is finitary or ULF, so is the functor of operads  $W[p] : W[\mathbb{Q}] \rightarrow W[\mathbb{C}]$ .

*$\therefore$  any NDFA over a category induces an NDFA over its spliced arrow operad, by the mapping  $(p : \mathbb{Q} \rightarrow \mathbb{C}, q_0, q_f) \mapsto (W[p] : W[\mathbb{Q}] \rightarrow W[\mathbb{C}], (q_0, q_f))$*

# ***4. The Representation Theorem***

# Overview

Chomsky & Schützenberger (1963): Any CF language is the homomorphic image of the intersection of a Dyck language with a regular language.

Our version: Any CF language of arrows in  $\mathbb{C}$  is the functorial image of the intersection of a  $\mathbb{C}$ -chromatic tree contour language and a regular language.

The proof relies on two constructions that are of more general interest:

1. The pullback of a CFG of arrows along an NDFA, which we use to show that CF languages are closed under intersection with regular languages.
2. The *contour category* of an operad, providing a left adjoint to the splicing functor, which we use to define a "universal CFG" for any pointed finite species.

# An important property of ULF functors

Let  $p_Q : \mathbb{Q} \rightarrow \mathbb{O}$  be a ULF functor of operads. Then the pullback of  $p : \text{Free } \mathcal{S} \rightarrow \mathbb{O}$  along  $p_Q$  in the category of operads is obtained from a corresponding pullback of  $\varphi : \mathcal{S} \rightarrow \mathbb{O}$  along  $p_Q : \mathbb{Q} \rightarrow \mathbb{O}$  in Species.

$$\begin{array}{ccc}
 \text{Free } \mathcal{S}' & \xrightarrow{\text{Free } \psi} & \text{Free } \mathcal{S} \\
 p' \downarrow & \text{pullback} & \downarrow p \\
 \mathbb{Q} & \xrightarrow{p_Q} & \mathbb{O}
 \end{array}
 \quad \Bigg| \quad
 \begin{array}{ccc}
 \mathcal{S}' & \xrightarrow{\psi} & \mathcal{S} \\
 \varphi' \downarrow & \text{pullback} & \downarrow \varphi \\
 \mathbb{Q} & \xrightarrow{p_Q} & \mathbb{O}
 \end{array}$$

# Pulling back a CFG along a NDFA

By the previous result, we can compute the pullback on the right:

$$\begin{array}{ccc}
 \text{Free } S' & \xrightarrow{\text{Free } \psi} & \text{Free } S \\
 p' \downarrow & \text{pullback} & \downarrow p_G \\
 W[\mathbb{Q}] & \xrightarrow{W[p_M]} & W[\mathbb{C}]
 \end{array}
 \quad \Bigg| \quad
 \begin{array}{ccc}
 S' & \xrightarrow{\psi} & S \\
 \varphi' \downarrow & \text{pullback} & \downarrow \varphi_G \\
 W[\mathbb{Q}] & \xrightarrow{W[p_M]} & W[\mathbb{C}]
 \end{array}$$

The pullback of  $G$  along  $M$  is the grammar  $G' = (\mathbb{Q}, S', (S, (q_0, q_f)), p')$ .  
 Note that  $G'$  generates a language of runs of  $M$ !

Taking the image of  $G'$  along  $p_M$  yields a grammar generating  $L_G \cap L_M$ .

# The contour category of an operad

Let  $\mathbb{O}$  be an operad. The category  $C[\mathbb{O}]$  is a quotient of the free category with:

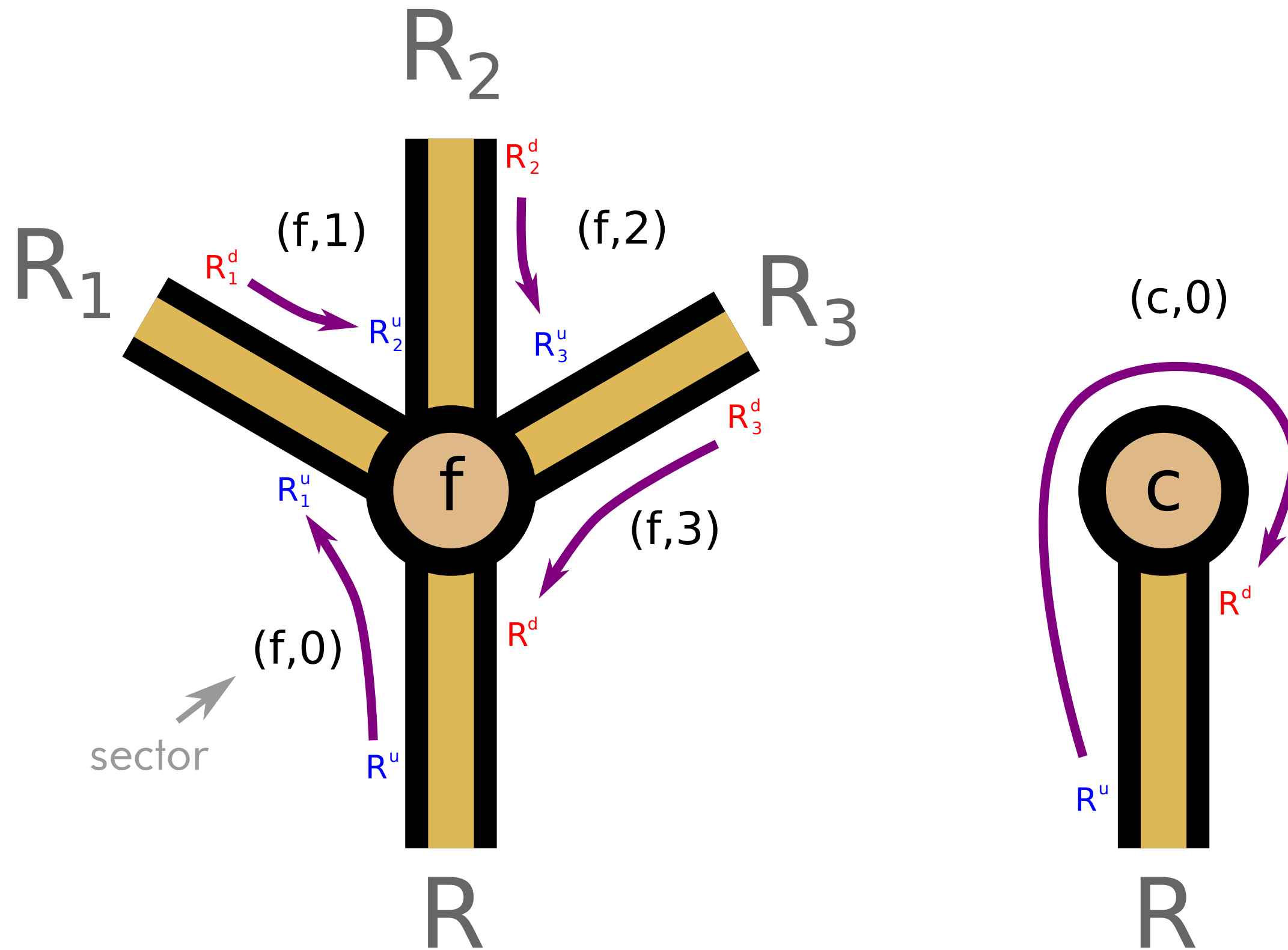
- objects given by *oriented colors*  $R^\varepsilon$  consisting of a color  $R$  of  $\mathbb{O}$  and an orientation  $\varepsilon \in \{u, d\}$  ("up" or "down");
- arrows generated by pairs  $(f, i)$  of an operation  $f : R_1, \dots, R_n \rightarrow R$  of  $\mathbb{O}$  and an index  $0 \leq i \leq n$ , defining an arrow  $R_i^d \rightarrow R_{i+1}^u$  where  $R_0^d = R^u$  and  $R_{n+1}^u = R^d$ ;

subject to the equations  $\text{id}_{R^u} = (\text{id}_R, 0)$  and  $\text{id}_{R^d} = (\text{id}_R, 1)$  plus the equations

$$(f \circ_i g, j) = \begin{cases} (f, j) & j < i \\ (f, i)(g, 0) & j = i \\ (g, j - i) & i < j < i + m \\ (g, m)(f, i + 1) & j = i + m \\ (f, j - m + 1) & j > i + m \end{cases} \quad (f \circ_i c, j) = \begin{cases} (f, j) & j < i \\ (f, i)(c, 0)(f, i + 1) & j = i \\ (f, j + 1) & j > i \end{cases}$$

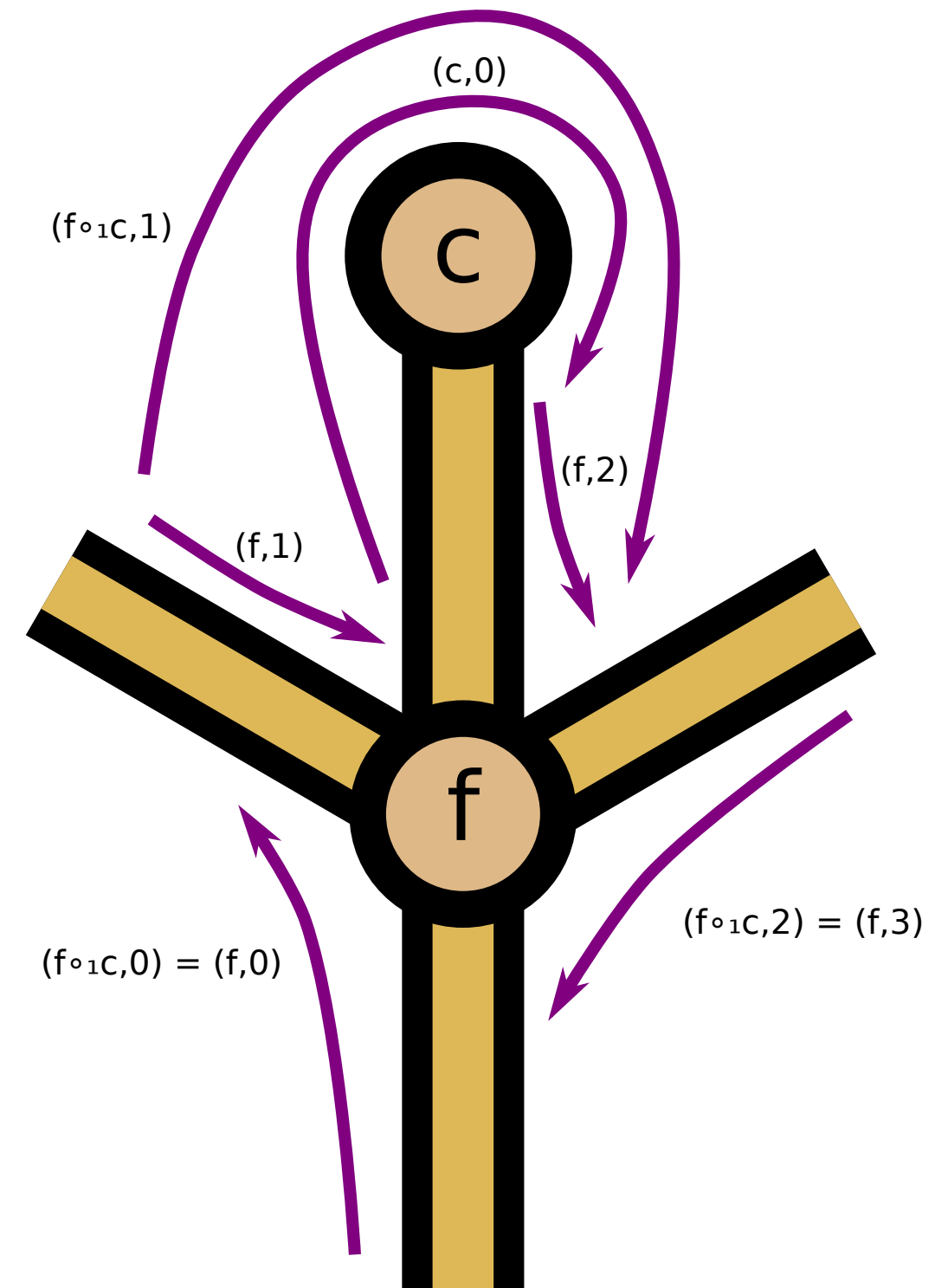
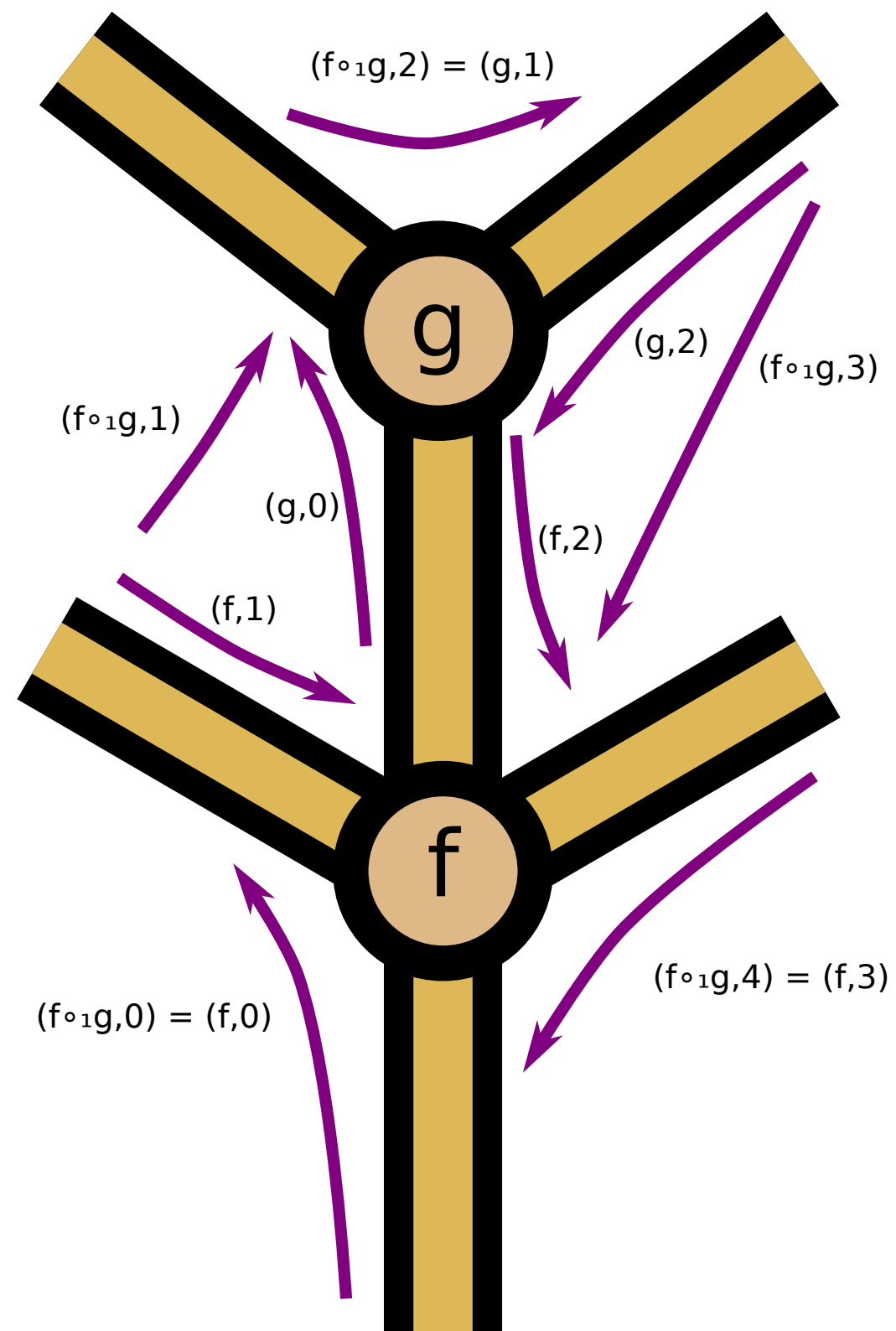
for every operation  $f$ , operation  $g$  of positive arity  $m > 0$ , and constant  $c$ .

# The contour category of an operad





# The contour category of an operad



# The contour / splicing adjunction

This construction provides a left adjoint to the splicing construction:

$$\text{Operad} \begin{array}{c} \xrightarrow{C[-]} \\ \perp \\ \xleftarrow{W[-]} \end{array} \text{Cat}$$

$$\text{Operad}(\mathbb{O}, W[\mathbb{C}]) \cong \text{Cat}(C[\mathbb{O}], \mathbb{C})$$

The unit and counit have nice descriptions:

$$\eta : \mathbb{O} \rightarrow W[C[\mathbb{O}]]$$

$$R \mapsto (R^u, R^d)$$

$$f \mapsto (f, 0) \cdots (f, n)$$

$$\varepsilon : C[W[\mathbb{C}]] \rightarrow \mathbb{C}$$

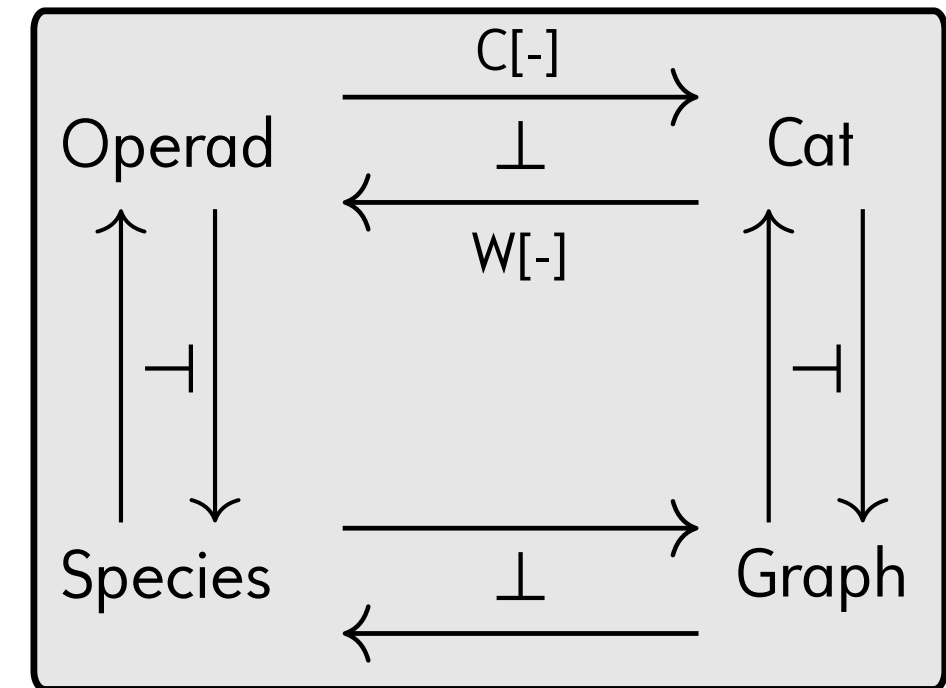
$$(A, B)^u \mapsto A \quad (A, B)^d \mapsto B$$

$$(w_0 \cdots w_n, i) \mapsto w_i$$

# Free contour categories

The contour category of a free operad is itself a free category, with  $C[\text{Free } \mathcal{S}]$  generated by the **corners**\*  $(x,i)$  consisting of an  $n$ -ary node  $x$  and index  $0 \leq i \leq n$ .

We sometimes write  $C[\mathcal{S}]$  as another name for this category.



Although  $C[-]$  does not preserve ULF in general, we have that for any species map  $\psi : \mathcal{S} \rightarrow \mathbb{R}$ , the functor of categories  $C[\psi] : C[\mathcal{S}] \rightarrow C[\mathbb{R}]$  is ULF.

\*Note that the word "corner" comes from the theory of planar maps, but in parsing theory, corners are called "dotted rules"!

# The universal CFG of a pointed finite species

By the contour / splicing adjunction, any  $p : \text{Free } \mathcal{S} \rightarrow W[\mathbb{C}]$  factors as

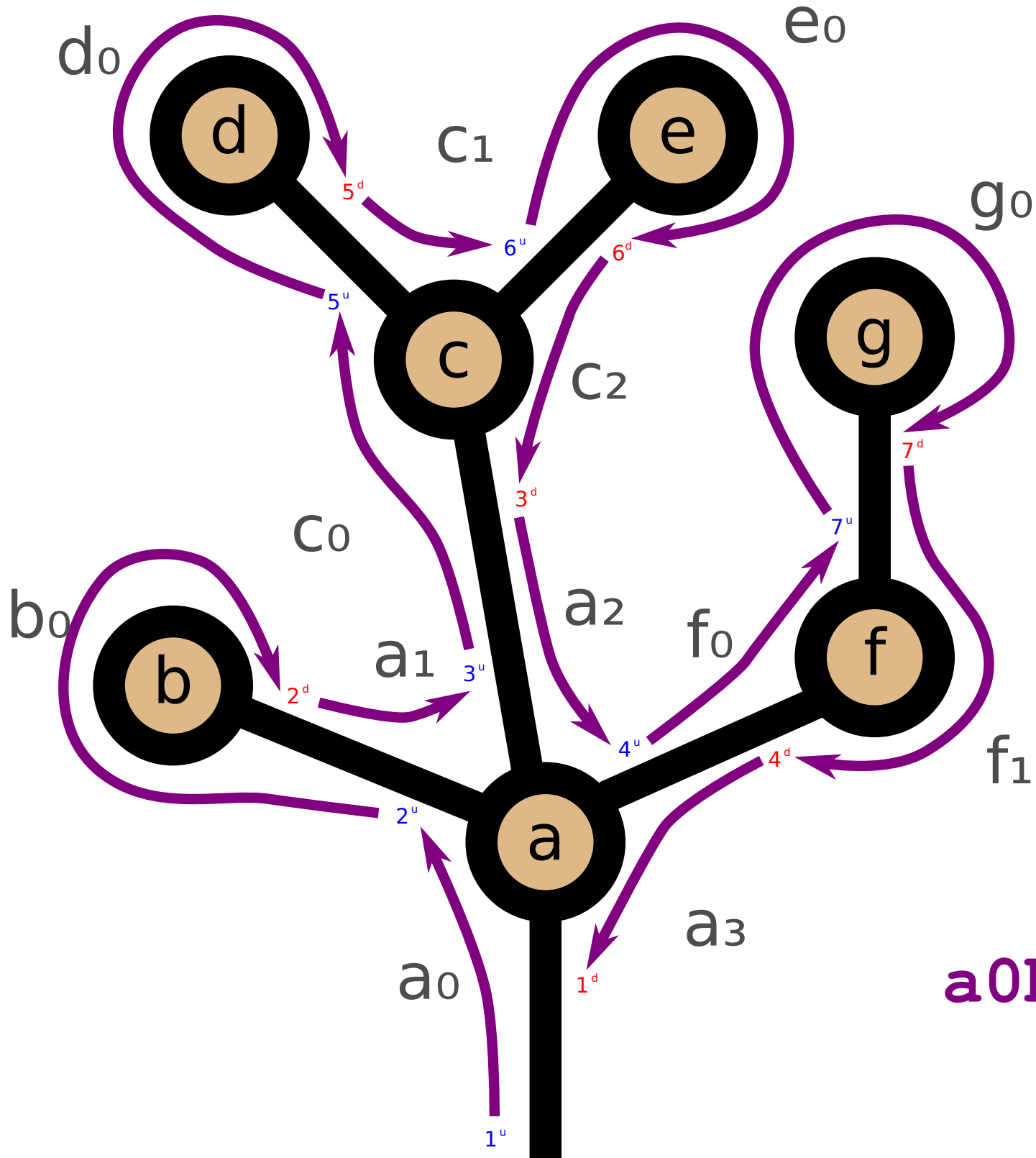
$$\text{Free } \mathcal{S} \xrightarrow{\eta_{\mathcal{S}}} W[\mathbb{C}[\text{Free } \mathcal{S}]] \xrightarrow{W[q]} W[\mathbb{C}]$$

for a unique functor of categories  $q : \mathbb{C}[\text{Free } \mathcal{S}] \rightarrow \mathbb{C}$ .

The CFG  $\text{Univ}_{\mathcal{S}, \mathcal{S}} = (\mathbb{C}[\text{Free } \mathcal{S}], \mathcal{S}, \mathcal{S}, \eta_{\mathcal{S}})$  is therefore "universal", in the sense that any other CFG  $G = (\mathbb{C}, \mathcal{S}, \mathcal{S}, p)$  with the same species and start symbol is obtained uniquely as the functorial image  $G = q \text{ Univ}_{\mathcal{S}, \mathcal{S}}$ .

The language generated by  $\text{Univ}_{\mathcal{S}, \mathcal{S}}$  is a language of **tree contour words**.

# A tree contour word over a species $\mathcal{S}$



$\mathcal{S}$		
a	: 2, 3, 4	$\rightarrow$ 1
b	: 2	
c	: 5, 6	$\rightarrow$ 3
d	: 5	
e	: 6	
f	: 7	$\rightarrow$ 4
g	: 7	

a0b0a1c0d0c1e0c2a2f0g0f1a3 : 1<sup>u</sup>  $\rightarrow$  1<sup>d</sup>

# Idea of the representation theorem

Separate the generation of a CF language into three pieces:

1. generate "uncolored" contour words describing shapes of  $\mathcal{S}$ -trees;
2. use an automaton to check that the contour words denote well-colored  $\mathcal{S}$ -trees with root color  $S$ ;
3. interpret each corner of the contour as an appropriate arrow.

# Another basic fact about species

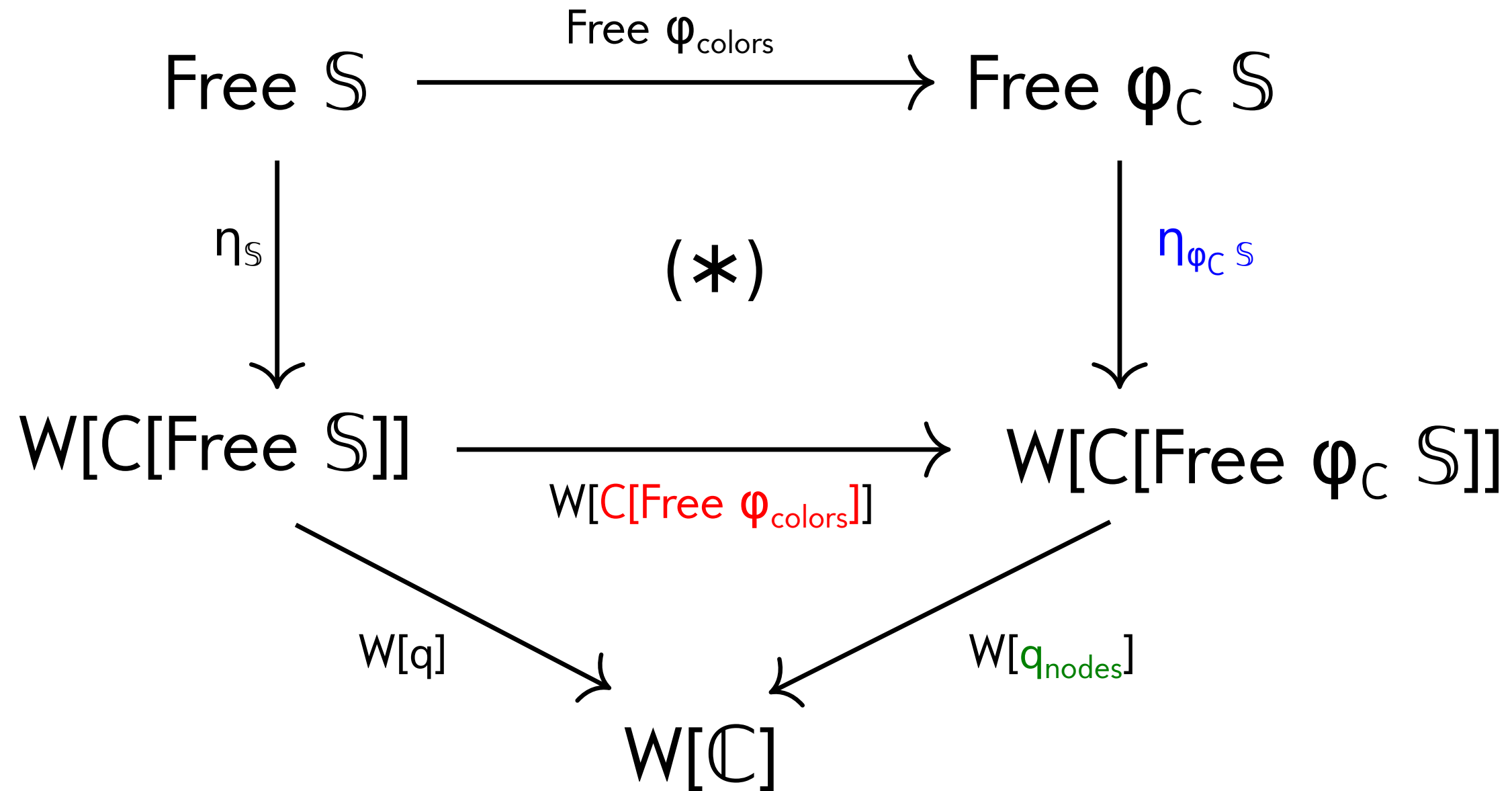
Any map of species  $\varphi : \mathbb{S} \rightarrow \mathbb{R}$  factors as:

$$\mathbb{S} \begin{array}{c} \xrightarrow{\varphi_{\text{colors}}} \\ \text{id on nodes} \end{array} \varphi_{\mathbb{C}} \mathbb{S} \begin{array}{c} \xrightarrow{\varphi_{\text{nodes}}} \\ \text{id on colors} \end{array} \mathbb{R}$$

In particular, we can apply this factorization to the underlying map of species  $\varphi : \mathbb{S} \rightarrow W[\mathbb{C}]$  of a given CFG of arrows.

The functor  $C[\varphi_{\text{colors}}] : C[\mathbb{S}] \rightarrow C[\varphi_{\mathbb{C}} \mathbb{S}]$  paired with the states  $S^u$  and  $S^d$  defines an automaton on contour words!

# The proof in a diagram

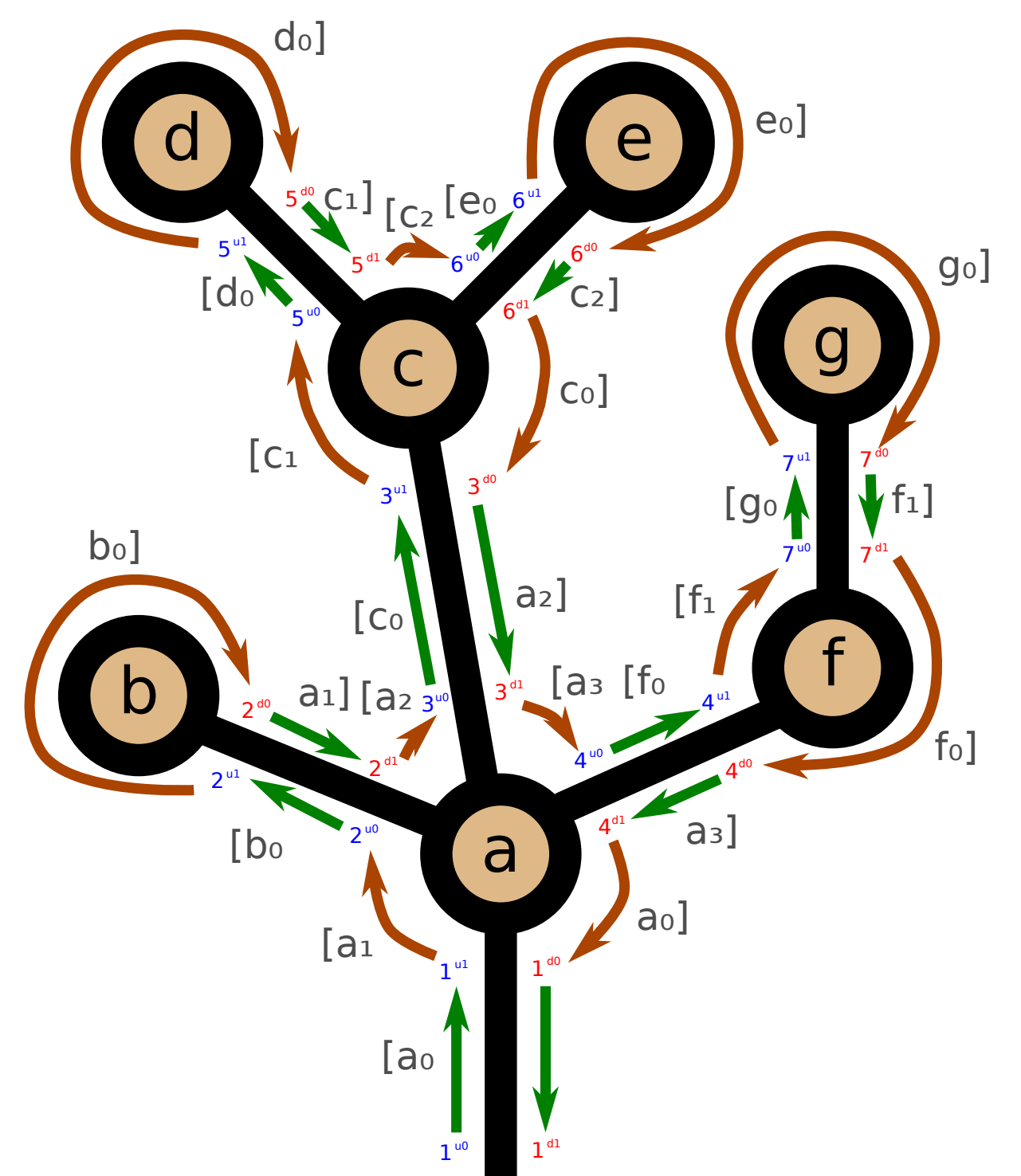
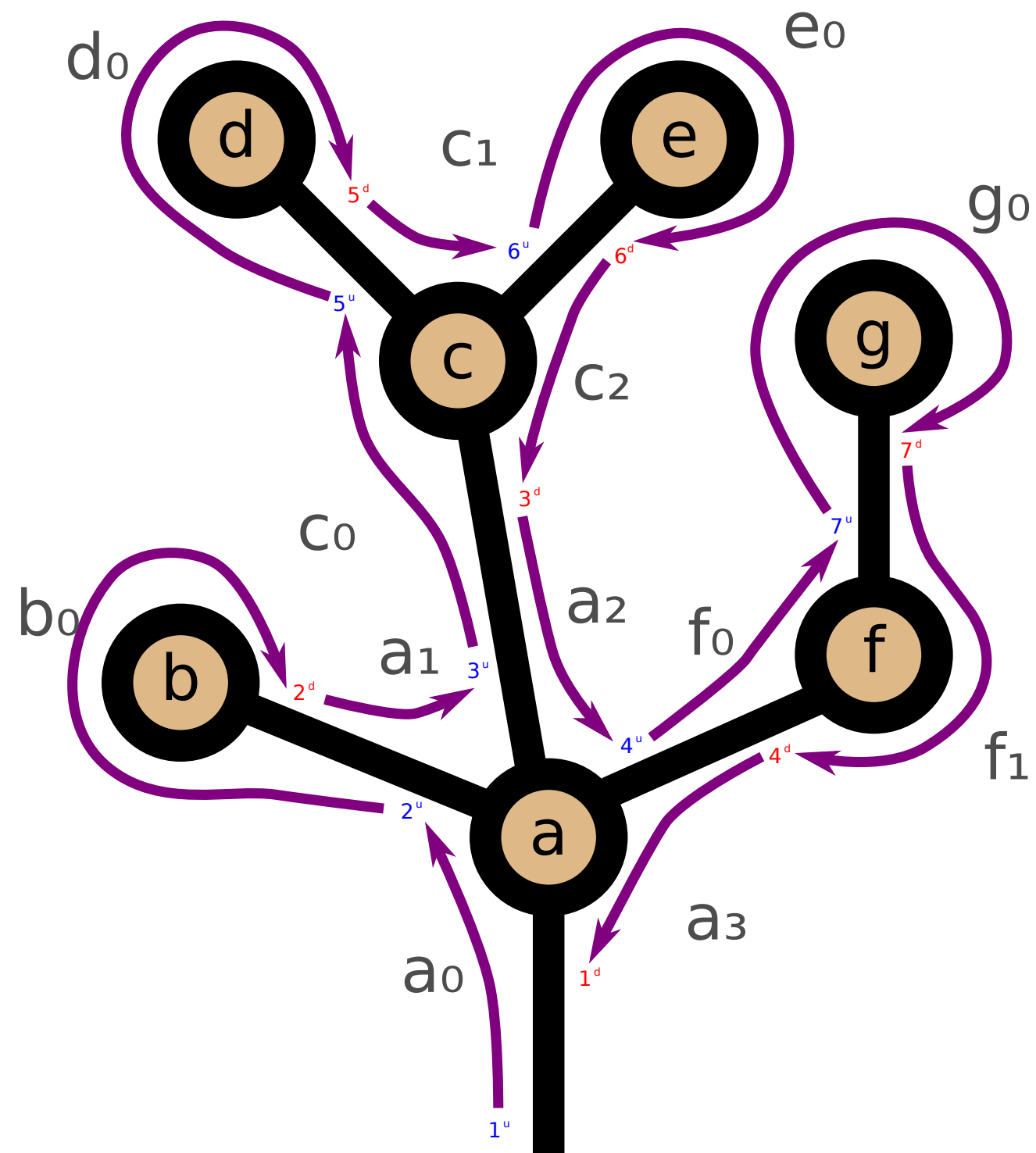


$$L_G = q L_{\mathcal{S}, \mathcal{S}} = q_{\text{nodes}} C[\varphi_{\text{colors}}] L_{\mathcal{S}, \mathcal{S}} = q_{\text{nodes}} (L_{\varphi_{\mathbb{C}} \mathcal{S}, \mathcal{S}} \cap L_{\mathbb{M} \text{colors}})$$

\*The naturality square is not a pullback, but the canonical functor  $\text{Free } \mathcal{S} \rightarrow \text{Free } \mathbb{R}$  to the pullback is fully faithful, hence we can apply the translation principle!

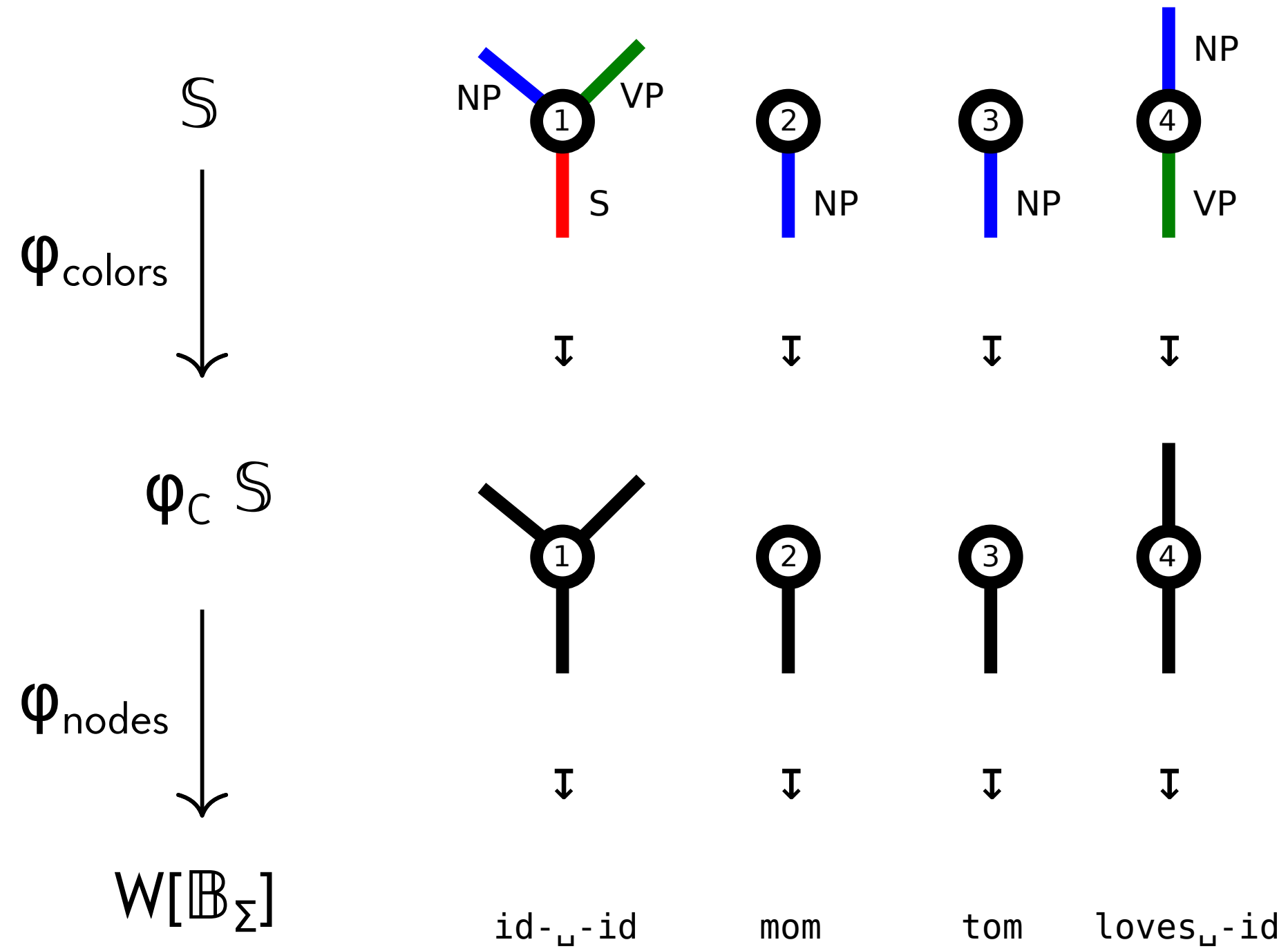


# From contour words to Dyck words



# ***5. Example***

# Colors / nodes factorization



# Translation of corners

$$C[\varphi_c S] \longrightarrow \mathbb{B}_\Sigma$$

$1_0 \mapsto \text{id}$

$1_1 \mapsto \sqcup$

$1_2 \mapsto \text{id}$

$2_0 \mapsto \text{mom}$

$3_0 \mapsto \text{tom}$

$4_0 \mapsto \text{loves}_{\sqcup}$

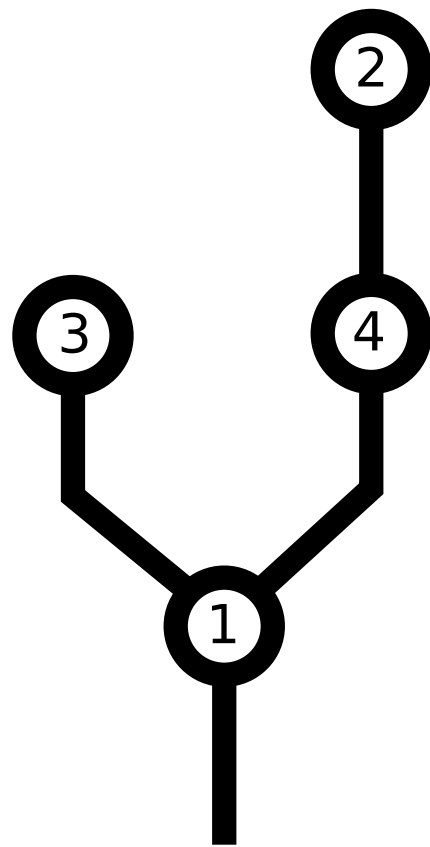
$4_1 \mapsto \text{id}$

# Uncolored tree contour words

Free  $\varphi_c S$

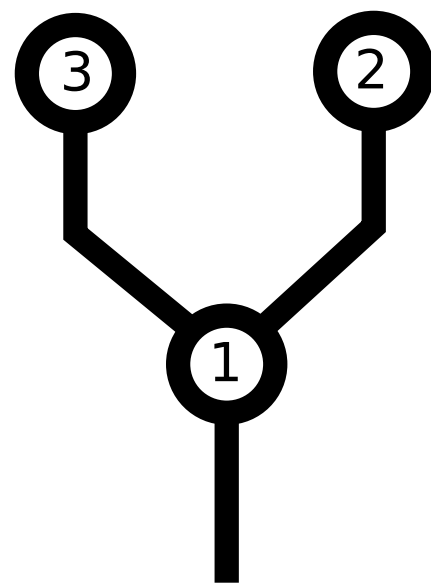


$W[\mathbb{B}_\Sigma]$



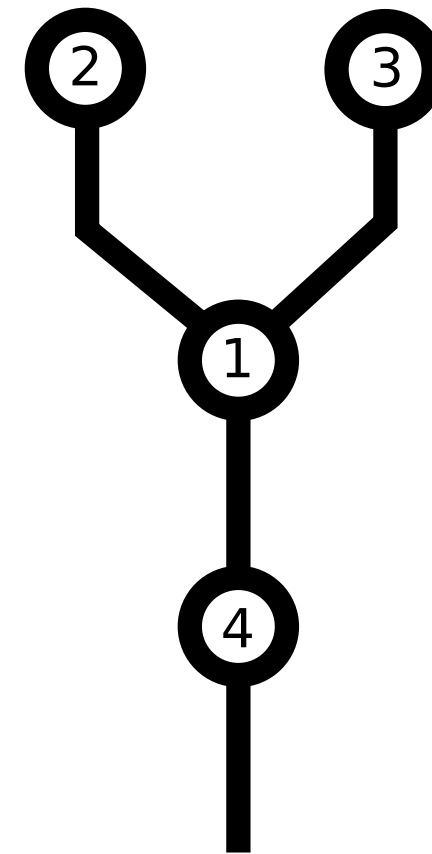
$1_0 3_0 1_1 4_0 2_0 4_1 1_2$

tom\_loves\_mom



$1_0 3_0 1_1 2_0 1_2$

tom\_mom

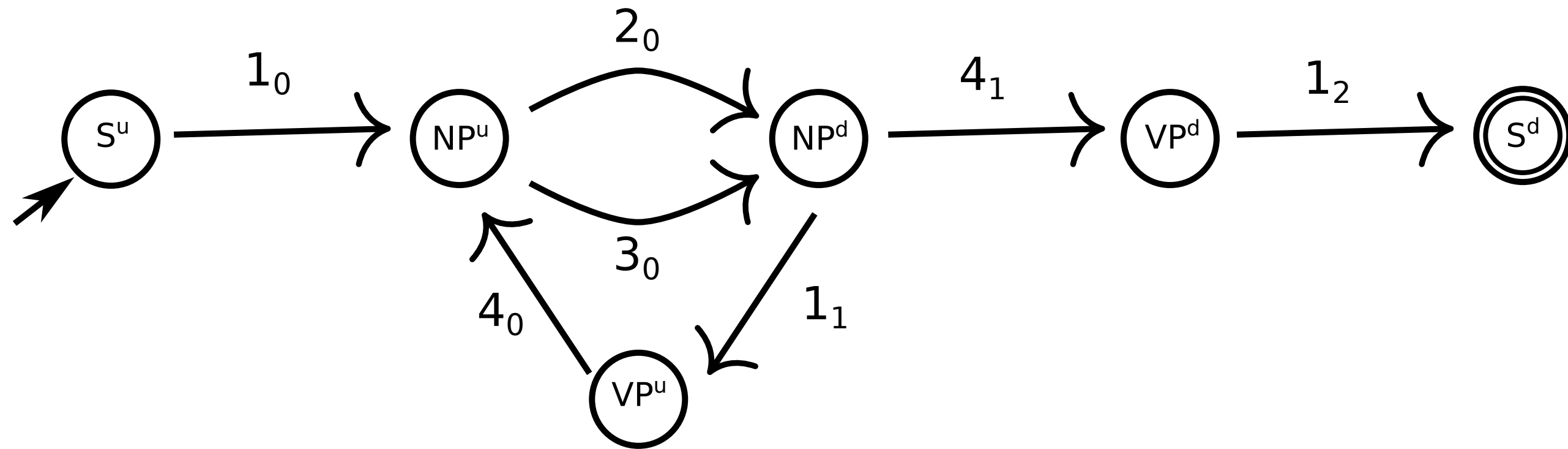


$4_0 1_0 2_0 1_1 3_0 1_2 4_1$

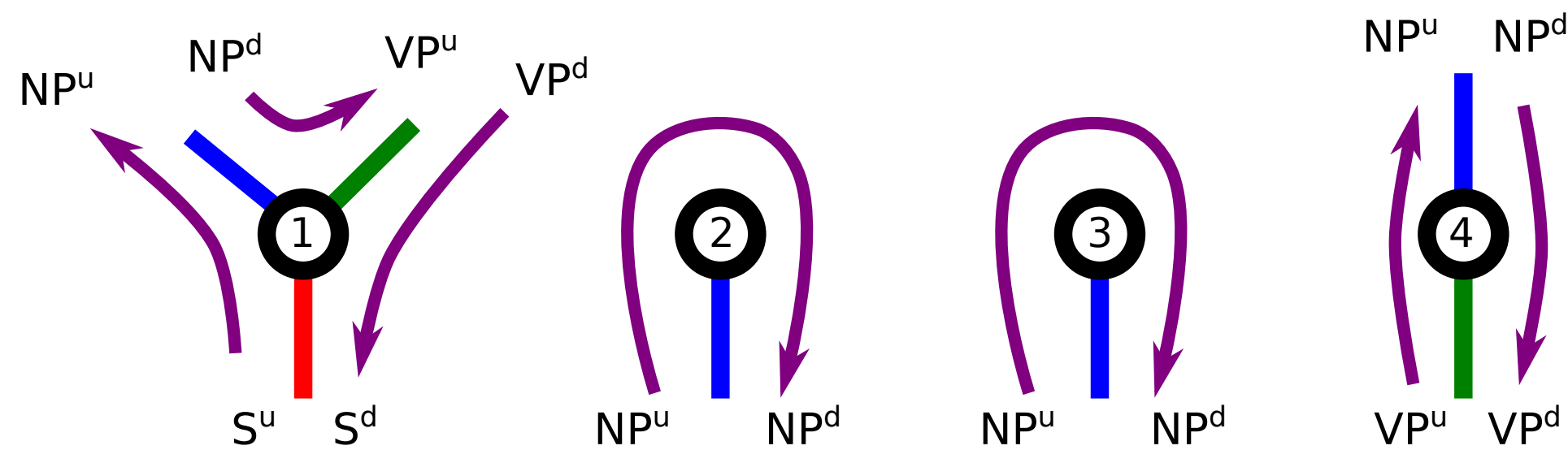
loves\_mom\_tom

...

# Coloring automaton



$C[S]$   
 $\downarrow$   
 $C[\varphi_c S]$



# ***6. Conclusion***

# Summary and future directions

Both CFGs and NDFAs may be naturally represented as functors, and generalized to define context-free / regular languages of arrows in a category.

Parsing may be naturally formulated as a lifting problem.

The Chomsky-Schützenberger Representation Theorem is deeply related to an elementary "contour / splicing" adjunction between operads and categories.

Are there other applications of spliced arrow operads and contour categories?

Next on our agenda: pushdown automata and LR parsing!